

25 **Abstract:** Large-scale landslides in sensitive clays cannot be explained properly using the
26 traditional limit equilibrium or Lagrangian-based finite-element (FE) methods. In the present
27 study, dynamic FE analysis of sensitive clay slope failures triggered by an earthquake is performed
28 using a large deformation FE modelling technique. A model for post-peak degradation of
29 undrained shear strength as a function of accumulated plastic shear strain (strain-softening) is
30 implemented in FE analysis. The progressive development of “shear bands” (the zone of high
31 plastic shear strains) that causes the failure of a number of soil blocks is successfully simulated.
32 Failure of a slope could occur during an earthquake and also at the post-quake stage until the failed
33 soil masses come to a new static equilibrium. Upslope retrogression and downslope runout of the
34 failed soil blocks are examined for varying geometries and soil properties. The present FE
35 simulations can explain some of the conditions required for causing different types of seismic slope
36 failure (e.g., spread, flowslide or monolithic slides) as observed in the field.

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38 **Keywords:** sensitive clay slope, retrogressive failure, earthquake, runout, large deformation,
39 flowslide, spread

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43 **Introduction**

44 Many large-scale landslides have occurred in sensitive clay slopes (Locat et al. 2011; Thakur
45 2016). In Canadian sensitive clays, most of the landslides have been triggered by toe erosion and/or
46 human activities. However, earthquakes are the main cause of the largest landslides (Desjardins
47 1980; Aylsworth and Lawrence 2003; Locat et al. 2011; Brooks 2013; Perret et al. 2013; Demers
48 et al. 2014). Relatively small-scale landslides in sensitive clays were also occurred by
49 earthquakes—for example, the Sainte-Thècle landslide in southern Québec due to the 1988
50 Saguenay earthquake (Lefebvre et al. 1992). The landslides triggered by toe erosion and human
51 activities have been studied through post-slide investigations and the development of conceptual,
52 analytical and numerical models (Odenstad 1951; Carson 1977, 1979; Quinn 2009; Dey et al. 2015,
53 2016a). The authors and their co-workers have also presented a review of numerical modelling
54 techniques for large deformation slope failure under static loading (Dey et al. 2015, 2016a–c; Soga
55 et al. 2016).

56 Empirical relationships have been proposed for assessing large-scale landslides in previous
57 studies (Keefer 1984; Aylsworth and Lawrence 2003; Brooks 2013). Keefer (1984) suggested that
58 a landslide is not expected if the earthquake's magnitude (M) is less than 4.0. Reviewing additional
59 failures, the threshold M to trigger large landslides in sensitive clays has been found to be between
60 5.9 and 6.1 (Aylsworth and Lawrence 2003; Brooks 2013). Quinn and Zaleski (2015) attempted
61 to develop relationships between ground acceleration and potential landslides.

62 Analyzing 41 documented landslides, Mitchell and Markell (1974) categorized six general profiles
63 where slope failures occurred in sensitive clays. In general, flowslides and spreads are the most
64 common types of large-scale seismic landslides (Quinn and Zaleski 2015). However, the
65 development of a large monolithic slab (e.g., Saint Jean-Vianney landslide (Legget and LaSalle

66 1978)) and formation of deep-seated grabens below the upslope loaded areas (e.g., L-Street slide
67 in the Alaska earthquake (Moriwaki et al. 1985)) have also been reported.

68 The mechanisms of failure and landslide extent can be examined through physical and
69 numerical modelling. After the Alaska earthquake, small-scale physical model tests were
70 conducted to understand the complex landslide mechanisms (Seed and Wilson 1967). The model
71 slope consisted of an extremely weak clay layer at the level of the toe. In a number of tests, failure
72 was initiated by vibrating the model on a shaking table. Wartman et al. (2005) conducted 1g
73 shaking table tests using a kaolinite–bentonite mixture, which has strain-softening behaviour, to
74 investigate seismic slope displacement. Park and Kutter (2015) presented a series of centrifuge
75 tests where a small amount of Portland cement was mixed with clay to create strain-softening
76 behaviour. One of the main challenges in physical modelling is the accommodation of large
77 displacement of the failed soil mass in a laboratory setup, as typically observed in the field.

78 The traditional limit equilibrium methods (LEM), which calculate the factor of safety based
79 on a strain-independent soil shear strength, are not suitable for analyzing large-scale landslides in
80 sensitive clays because the LEM cannot model the progressive development of failure planes due
81 to strain-softening. The pseudostatic LEM method, where a destabilizing horizontal body force
82 representing the earthquake-induced force is added to the gravitational driving force, is also not
83 suitable for modeling sensitive clay slope failure, because this method is only applicable if the
84 reduction in shear strength due to earthquake is not very significant (<15%, Seed 1979; Kramer
85 1996). For a better modelling of this process, Quinn et al. (2012) conducted seismic slope stability
86 analysis decoupling the problem into two components: (i) the progressive development of failure
87 planes has been modeled using the concept of linear elastic fracture mechanics, and (ii) the

88 additional stresses induced by the earthquake have been calculated separately from one-
89 dimensional wave propagation analysis using SHAKE91 (Idriss and Sun 1991).

90 Dynamic FE modelling of slopes considering post-peak softening of soil is very limited.
91 Kourkoulis et al. (2010) conducted dynamic FE analyses considering linear post-peak degradation
92 of cohesion and frictional soil parameters with accumulated octahedral plastic strains. Chen and
93 Qiu (2014) showed the performance of a smoothed particle hydrodynamics (SPH) method for
94 modelling seismic slope deformation, which has been also calibrated against the shaking table test
95 results of Wartman (1999). However, they did not simulate the retrogression and large
96 displacements of the failed soil blocks, as are observed in seismic landslides in sensitive clays.

97 Based on an evaluation of historical landslides that occurred in sensitive clays in Québec,
98 Canada, Demers et al. (2014) suggested that there are many factors (e.g. slope geometry,
99 remoulded shear strength, and the thickness of sensitive clay layer) that need to be investigated.
100 Moreover, the methods to analyze progressive slope failure must be improved to understand the
101 characteristics of large retrogressive landslides. The objective of this study is to present large
102 deformation dynamic FE modelling of sensitive clay slope failure in undrained conditions. Total
103 stress analyses have been performed using Abaqus FE software by modelling the soil as an
104 Eulerian material in which the undrained strain-softening behaviour of sensitive clay is
105 implemented. The failure pattern, upslope retrogression and runout of the failed soil mass are
106 investigated by varying the slope geometry and geotechnical properties.

107 **Problem Definition**

108 Numerical analyses are performed for the following four model geometries.

109 Slope-I: A 15 m high 2H:1V slope with an upslope angle $\alpha = 0^\circ$ is considered (Fig. 1(a)). The
110 downslope profile (ba) is horizontal. However, additional analyses have also been performed

111 for an inclined downslope to investigate its effect on slope failure, especially runout. A large
112 soil domain of 400-m length, having left and right boundaries at 150 m and 250 m, respectively,
113 from the toe, is modelled to minimize boundary effects on slope failure. The soil domain
114 consists of two clay layers and a strong base layer. The groundwater table is located at the
115 ground surface (e.g. the soil is fully saturated). In the field, a weathered crust of variable
116 thickness generally exists over the sensitive clay layer. The behaviour of the crust cannot be
117 modelled properly using the undrained shear strength (Dey et al. 2015). In the present study,
118 the crust is not modelled.

119 Slope-II: This slope is same as the Slope-I, except for $\alpha > 0^\circ$ (Fig. 1(b)).

120 Slope-III: This slope is also same as Slope-I; however, a vertical surcharge (q) exists in the upslope
121 area, which represents the pressure from existing structures such as buildings or embankments
122 (Fig. 1(c)).

123 Slope-IV: The geometry of this slope is the same as for Slope-I; however, a weak and highly
124 sensitive clay layer of thickness H_q is placed above the level of the toe (Fig. 1(d)).

125 **FE Modeling**

126 Previous studies showed the advantages of FE modelling over traditional limit equilibrium
127 methods for slope stability analysis (Duncan 1996; Griffiths and Lane 1999). The main advantages
128 of FE modeling are: (i) a priori definition of a failure plane is not required as with LEM; instead,
129 the failure occurs through the location where shear stress reaches the shear strength; (ii) the
130 progressive formation of failure planes can be simulated; and (iii) the deformation of failed soil
131 can be modelled. Large deformation of the failed soil mass occurs in many sensitive clay slope
132 failures. However, most existing FE programs developed in the Lagrangian framework cannot

133 simulate large deformation because of significant mesh distortions around the failure plane that
134 cause numerical instabilities and non-convergences of the solutions (Griffiths and Lane 1999).
135 In the present study, Abaqus/Explicit Version 6.14.2 FE software is used for numerical analysis.
136 The soil is modelled as an Eulerian material to simulate the large deformation of failed soil in a
137 landslide. Note that, unlike the approaches used for modeling Eulerian materials in typical
138 Computational Fluid Dynamics (CFD) programs (including Abaqus CFD), the Eulerian time
139 integration in Abaqus FE program is performed in the Computational Solid Mechanics framework
140 based on operator splitting of the governing equations in which each of the time steps has two
141 phases of calculations—a conventional Lagrangian phase followed by an Eulerian phase. In the
142 Eulerian phase, the solution obtained from the Lagrangian phase is mapped back to the spatially
143 fixed Eulerian mesh. Therefore, the Eulerian material (soil) can flow through the fixed mesh
144 without causing numerical issues related to mesh distortion. Further details of the mathematical
145 formulations, the interactions between the Eulerian material and Lagrangian bodies based on the
146 Coupled Eulerian-Lagrangian (CEL) approach and its applications to large deformation
147 static/quasi-static problems (e.g. onshore and offshore landslides, penetration of surface laid
148 pipelines and pile jacking) are available in previous studies (Benson 1992; Benson and Okazawa
149 2004; Qiu et al. 2011; Dey et al. 2015, 2016c; Dutta et al. 2015; Trapper et al. 2015).

150 FE analysis is performed with only one element length in the out-of-plane direction in order
151 to simulate the plane strain condition. A large rectangular Eulerian domain is created first (e.g.
152 PQRS in Fig. 1(a)), which is then discretized using 8-node linear brick elements of multimaterials
153 having reduced integration with hourglass control (EC3D8R in Abaqus) of 0.25 m length, except
154 for the mesh sensitivity analyses. The domain is then divided into two parts: (i) the soil (below
155 abcd in Fig 1(a)) and (ii) the void above the soil layer. The void space is created in order to

156 accommodate the displaced soil mass during the landslide. The initial condition is defined using
157 Eulerian Volume Fraction (EVF). For an element, $EVF = 1$ means that the element is filled with
158 soil and $EVF = 0$ means the element is void. A fractional value of EVF means that the element is
159 partially filled with the soil. The density of saturated soil is assigned to all the soil elements.

160 Zero velocity boundary conditions are applied normal to the bottom and two out-of-planes
161 (i.e. vertical planes parallel to the model) in Fig. 1. In other words, the bottom of the model is
162 restrained from any vertical movement while these vertical faces are restrained from any lateral
163 movement. No boundary conditions are applied along the soil-void interface, to allow the displaced
164 soil to move in the void space when needed. Non-reflecting boundary conditions are applied to the
165 left and right vertical faces in order to avoid reflection of waves during dynamic loading. The
166 advantages of non-reflecting boundary conditions have been discussed elsewhere (Islam 2017;
167 Islam et al. 2017).

168 FE modelling consists of the following steps.

169 (i) Gravity loading: The gravitational acceleration (g) is applied gradually to create geostatic
170 stresses in the soil elements, maintaining a ratio between the lateral and vertical total stresses
171 equal to 1.0. This also represents the at-rest earth pressure coefficient (K_0) equal to 1.0
172 because the pore water pressure is isotropic. The gravitational loading creates shear stress in
173 the soil elements near the slope; however, it is less than the shear strength of soil and
174 therefore the slope is stable at the end of this loading step for the cases analyzed. A wide
175 variation in K_0 for sensitive clays has been reported from field and laboratory measurements,
176 which can be related to the over-consolidation ratio (OCR) as $K_0 = K_{0(NC)}OCR^n$ where $K_{0(NC)}$
177 is the value of K_0 at the normally consolidated state and $n \sim 1.0$ for Canadian sensitive clays,
178 which could be higher for highly sensitive clays (Lefebvre et al. 1991; Hamouche et al.

179 1995). K_0 has a significant influence on slope failure (Lo and Lee 1973; Locat et al. 2013;
180 Wang et al. 2015) and therefore further investigation for varying K_0 is required. Moreover,
181 groundwater seepage could influence the initiation of slope failure; however, it has not been
182 modelled in the present study.

183 For Slope-III, the vertical pressure q is created by increasing the unit weight of a soil block
184 of 20 m width and 0.25 m depth (one element) at the loaded area (Fig. 1(c)).

185 (ii) Earthquake loading: A horizontal excitation (acceleration–time) is applied at the base of the
186 model (e.g. at PQ in Fig. 1(a)).

187 (iii) Post-quake simulation: After the completion of earthquake loading, the analysis is
188 continued until the instantaneous velocity of the soil elements becomes negligible.

189 Figure 2 shows the input acceleration–time history used in this study, which is a modified
190 form of the 1985 Nahanni earthquake that occurred in the Northwest Territories in Canada
191 (Wetmiller et al. 1988; PEER 2010). The modification is performed by multiplying acceleration
192 and the time of the original accelerogram record by scale factors (Villaverde 2009) and, in this
193 case, these factors are 2.0 for acceleration and 1.0 for time. The simulations are conducted in total
194 stress undrained conditions and any post-earthquake pore water migration is not modelled.

195 **Modelling of Soil**

196 An appropriate stress–strain model of sensitive clays that covers a wide range of strains under
197 dynamic and monotonic loadings in undrained conditions is required for successful simulation of
198 slope failures during the earthquake and post-quake phases. The soil is modelled as elastic-plastic
199 material, based on the von Mises yield criterion in total stress analysis. The stress–strain behaviour
200 up to the peak undrained shear strength is considered as linear elastic and defined by undrained
201 Young’s modulus (E_u) and Poisson’s ratio (ν_u).

202 Most of the existing laboratory tests available in the literature, such as dynamic triaxial or
203 direct simple shear (DSS) tests, were conducted to investigate the stress–strain behaviour of clays
204 at low to medium strain ranges, or above a threshold deviatoric stress but below the peak shear
205 strength, to model strength degradation of clays with dynamic loading. Díaz-Rodríguez and López-
206 Molina (2008) divided the available studies on the dynamic behaviour of clays into a number of
207 groups based on strain level and showed that experimental studies at large strains are not available.
208 One of the main reasons is that triaxial and DSS devices cannot handle very large deformations.

209 During the failure of a sensitive clay slope, significantly large strains generate, especially near
210 the failure planes. Recognizing the limitations of typical shear test apparatus for large strain tests,
211 Tavenas et al. (1983) conducted four different types of test—impact on a rigid surface, impact
212 from falling objects, extrusion through a narrowing tube, and shear reversals in a large shear box—
213 on the Champlain sea clays from 7 different sites in Quebec, Canada and showed the degradation
214 of mobilized undrained shear strength (s_u) with strain energy. Quinn et al. (2011) reexamined
215 Tavenas et al.'s (1983) test results and presented s_u degradation as a function of shear displacement.
216 Thakur et al. (2017) showed the post-peak reduction of undrained shear strength with vane rotation.

217 A very limited number of experimental studies on s_u degradation of sensitive clays under
218 dynamic loading is available in the literature (Lefebvre and LeBoeuf 1987; Kakoli 2005; Javed
219 2011; Rasmussen 2012; Theenathayarl 2015). In these tests, loading/unloading occurs at stresses
220 below the peak s_u . However, Theenathayarl (2015) showed a large s_u reduction per cycle for stress
221 reversal at strains after the mobilization of the peak s_u with large strain amplitudes.

222 **Post-Peak Shear Strength Degradation**

223 Figure 3 shows the variation of mobilized undrained shear strength (s_u) of sensitive clay with
224 accumulated plastic shear displacement (δ_t). The shear strain localizes into the shear band during

225 strain-softening; therefore, it has been preferred to model the shear strength variation with shear
 226 displacement, as is commonly used for modelling shear band propagation based on the concept of
 227 fracture mechanics (e.g., Palmer and Rice 1973). The degradation of s_u occurs if $\delta_t > \delta_e + \delta_{pc}$, where
 228 δ_e represents the elastic shear displacement and δ_{pc} is the plastic shear displacement during which
 229 s_u remains constant. For brevity, the plastic shear displacement in the strain-softening phase is
 230 termed as δ ($= \delta_t - (\delta_e + \delta_{pc})$).

231 When an unloading occurs (e.g., during an earthquake) from a point on the post-peak
 232 degradation curve ($\delta > 0$), the maximum s_u for the load reversal is equal to the s_u that mobilized
 233 before reversal. When the shear stress during the load reversal reaches this s_u , plastic shear strain
 234 generates that also causes s_u degradation. In other words, δ in Fig. 3 represents the accumulated
 235 plastic shear displacements that occurred in both the loading and unloading phases. Moreover, δ
 236 is related to plastic shear strain, as discussed later.

237 The initial peak undrained shear strength (s_{u0}) remains constant up to δ_{pc} . The shear strength
 238 decreases quickly after δ_{pc} , which is primarily due to the collapse of the structure of sensitive clay.
 239 At a very large strain, remoulding of soil together with reorientation of particles reduce s_u to the
 240 residual shear strength (s_{ur}), as observed from a close examination of soil after vane shear tests
 241 (Gylland et al. 2013). Bernander (2000) suggested that s_{ur} does not generally mobilize in a
 242 developing slip surface and therefore recommended an undrained shear strength s_{uR} ($> s_{ur}$) for
 243 modelling progressive failure of sensitive clay slopes.

244 The first segment of the s_u degradation curve (bcd in Fig. 3) (e.g. $0 \leq \delta \leq 2\delta_{95}$) is modelled as

$$245 \quad (1) \quad s_u = s_{uR} + (s_{u0} - s_{uR})e^{-3\delta/\delta_{95}}$$

246 where s_{uR} is the value of s_u at large δ ; and δ_{95} is the value of δ at which 95% reduction of $(s_{u0} - s_{uR})$
 247 occurs. Equation (1) is a modified form of the strength degradation equation proposed by Einav

248 and Randolph (2005), but in terms of plastic shear displacement. Note that a linear degradation of
249 s_u with accumulated shear strains during cyclic loading has been used in previous studies (Nadim
250 1998; Pestana and Nadim 2000). Equation (1) has also been used for modelling T-bar/ball/offshore
251 pipelines subjected to monotonic and cyclic loadings (Zhou and Randolph 2009; Dutta et al. 2015),
252 and large-scale landslides (Wang et al. 2013; Dey et al. 2015). Further details, including the
253 calibration of Eq. (1) against laboratory test results, are available in Dey et al. (2016b).

254 As shown in the following sections of this paper, s_u at very large strains influences the mobility
255 of the failed soil (e.g. runout) and thereby the failure patterns. A linear variation of s_u with δ is
256 used for the second segment of the strain-softening curve (de in Fig. 3) (e.g. $2\delta_{95} \leq \delta \leq \delta_{ld}$). Here,
257 δ_{ld} represents a very large plastic shear displacement beyond which s_u remains constant ($= s_{u(ld)}$).
258 Monotonic and cyclic triaxial and direct simple shear tests on sensitive clays show that s_{u0}
259 increases with strain rate but decreases with cyclic loading, even at cyclic shear stresses lower than
260 s_{u0} (Lefebvre and LeBoeuf 1987; Lefebvre and Pfendler 1996). Considering these compensating
261 effects, Lefebvre and Pfendler (1996) suggested that the cyclic peak shear strength can be
262 conservatively estimated as the peak strength obtained from monotonic tests at standard strain rates.
263 In the present study, cyclic loading effects on shear strength degradation in the pre-peak zone are
264 not modelled, which is assumed to be elastic. Moreover, the effect of strain-rate is not explicitly
265 modelled. In other words, it is assumed that the peak s_u is not affected by the cyclic loading. Finally,
266 the static shear stress in the soil elements near the slope could reduce the cyclic shear resistance
267 (Lefebvre and Pfendler 1996), which has not been considered in this study.

268 The geotechnical parameters used for the “base case” analysis are listed in Table 1. The
269 parameters are estimated from laboratory tests, interpretation of test data, constitutive model
270 development and numerical studies on landslides in sensitive clays available in the literature (e.g.

271 Shannon and Wilson 1964; Mitchell et al. 1973; Woodward-Clyde 1982; Tavenas et al. 1983;
272 Idriss 1985; Moriwaki et al. 1985; Stark and Contreras 1998; Bernander 2000; Leroueil 2001;
273 Boulanger and Idriss 2004, Locat et al. 2008; Quinn 2009; Locat et al. 2011, 2013; Quinn et al.
274 2011).

275 A linearly increasing s_{u0} (kPa) = $25+2z$ is used for the sensitive clay layer, where z (in metres)
276 is the depth below the upslope ground surface. It is assumed that geological effects, such as
277 removal of soil in the downslope region that created the slope, has not changed the original
278 undrained strength profile. As the ground surface is inclined in Slope-II (Fig. 1(b)), z is measured
279 from the crest, while s_{u0} above the level of crest is assumed to be constant (= 25 kPa). The variation
280 of s_u with depth (initial condition) and accumulated plastic shear strain (during failure) is
281 implemented in Abaqus using the user-defined subroutine VUSDFLD. During the failure of a
282 slope, a soil element might displace to different locations from its initial depth. In the subroutine,
283 a code is written to ensure that the displaced soil elements carry the initial value of s_{u0} . The yield
284 strength (= $2s_u$, for the von Mises yield criterion) is given as a function of equivalent plastic shear
285 strain ϵ_q^p (= PEEQVAVG in Abaqus), which is related to engineering plastic shear strain (γ^p) as
286 $\epsilon_q^p = \gamma^p / \sqrt{3}$, where $\gamma^p = \delta / t_{FE}$ for simple shear condition and t_{FE} is the length of the cubical
287 elements used in this study. The critical values of equivalent plastic shear strain required to define
288 the stress–strain curve for FE input are shown above the horizontal axis in Fig. 3, where the
289 superscript “p” represents the plastic shear strain.

290 **Material Damping**

291 The energy dissipation primarily occurs due to frequency-independent hysteretic behaviour
292 of soil, which can be incorporated in dynamic FE analysis using a nonlinear stress–strain
293 relationship (Kwok et al. 2007; Mánica et al. 2014; Tsai et al. 2014). As an elasto-plastic soil

294 model is used in the present study, the plastic flow can simulate hysteretic damping when
295 loading/unloading occurs from yield strength and therefore additional damping is required only in
296 the elastic part (Zhai et al. 2004; Mánica et al. 2014). For cyclic loading inside the yield surface,
297 energy dissipation can be achieved by nonlinear variation of stiffness with Masing's rule (Masing
298 1926; Chen and Qiu 2014) and viscous damping. As the main interest of the present study is to
299 investigate large deformation failure of sensitive clay slopes, pre-yield stiffness variation is not
300 considered, which requires an additional reliable soil model and is left for a future study. Mánica
301 et al. (2014) compared the damping models available in FLAC (Itasca 2012) and the best
302 performance was shown with the Rayleigh damping method for their problems. Similar to previous
303 dynamic FE modelling using Abaqus, the material damping is incorporated using the Rayleigh
304 damping (Martino and Mugnozza 2005; Ju and Ni 2007; Alipour and Zareian 2008; Jehel et al.
305 2014; Lindberg and Sandvik 2015). The stiffness proportional damping of $\beta = 0.000375$ is used.
306 In Abaqus, the mass proportional damping is neglected in Eulerian materials.

307 **FE Results**

308 The development of failure planes with computational time, which is same as the time used
309 for the earthquake input, is explained using the formation of shear bands due to strain-softening.
310 Note, however, that the behaviour of soil is time-independent. For the soil parameters listed in
311 Table 1 and $t_{FE} = 0.25$ m, s_u degradation initiates after $\epsilon_q^p = 0.014$ ($= \delta_{pc}/(\sqrt{3}t_{FE})$) (e.g. point b in
312 Fig. 3), and s_u reduces almost to s_{uR} at $\epsilon_q^p = 0.16$ ($= 2\delta_{95}/(\sqrt{3}t_{FE})$) (e.g. point d in Fig. 3). As the
313 failed soil blocks displace a large distance, the zones of very high ϵ_q^p represent the failure surfaces.

314 *Slope-I*

315 Figure 4 shows the progressive development of failure surfaces during the earthquake and
316 post-quake stages for Slope-I (Fig. 1(a)). Global failure initiates with a rotational slide at $t = 8.5$ s
317 of the earthquake (Fig. 4(a)). Rotational failure of another soil block, at a shallower depth than the
318 previous one, occurs at $t = 12.25$ s (Fig. 4(b)). During this period ($t = 8.5$ – 12.25 s), the previously
319 failed soil mass displaces a large distance in the downslope direction and is broken into smaller
320 pieces by the formation of additional shear bands in it. The retrogressive failure of additional soil
321 blocks and the displacement of failed soil mass continue with the earthquake although the
322 amplitude of acceleration decreases with time after $t \sim 10$ s (Figs. 4(c and d)). This is mainly due to
323 the following reasons: (i) sufficiently large displacement of the failed soil mass reduces the support
324 on the soil in the right side of the backscarp, (ii) relatively small earthquake acceleration after $t \sim 10$
325 s is sufficient to cause failure of the soil behind the steep backscarp and (iii) kinematics of the
326 failed soil mass is influenced by its displacement with time because of the reduction of s_u along
327 the failure planes. Figures 4(f)–4(g) show the post-quake response of the slope. Although the
328 earthquake stops at $t \sim 18.1$ s, the failure process continues because of the reasons mentioned above.
329 The lateral extent of the landslide (L_E) is the sum of “retrogression distance (L_R)”, “slope length
330 L_S ”, and “runout distance (L_U)” (Fig. 4(g)). In this study, L_R measures the horizontal distance from
331 the crest of the slope to the furthest location of the shear band, which might be at the upslope
332 ground surface on a global failure plane (e.g. point X in Fig. 4(g)) or at the tip of a local shear band
333 (e.g. point Y in Fig. 11(l)). As will be discussed later, in some cases, the movement of failed soil
334 (e.g. runout) is observed even at the end of the analysis period, especially when the downslope
335 profile is inclined and $s_{u(ld)}$ is very small. Therefore, the maximum retrogression (L_{Rmax}) and runout
336 (L_{Umax}) distances for these cases could not be obtained. In the following figures, for the purpose of

337 comparison, the values of L_U and L_R at $t = 30$ s are reported as shown in Fig. 4(g), unless otherwise
338 mentioned.

339 The rotational failure of successive soil blocks presented in Fig. 4 is similar to typical
340 flowslides in sensitive clays—for example, the Notre-Dame-de-la-Salette slide in Quebec that was
341 triggered by the 2010 Val de Bois earthquake (Perret et al. 2013; Demers et al. 2014). In that
342 landslide, failure initiated near the toe of the slope and progressed in the upslope area in a stepped
343 pattern—shallower depth of the bottom of the failure plane with the progress of retrogression—
344 which is similar to the failure pattern shown in Fig. 4. Demers et al. (2014) reported that this type
345 of stepped pattern of failure is commonly observed in sensitive clay slopes. Lefebvre et al. (1992)
346 showed that, in the Sainte-Thècle failure triggered by the 1988 Saguenay earthquake, the base of
347 the failure planes was approximately horizontal during upslope propagation of ~ 50 m and then
348 failed along an inclined upward plane at the interface between the sensitive clay and till.

349 Effect of mesh size

350 FE analysis for the strain-softening material is challenging because the solution could be mesh
351 size dependent. Various approaches have been used to reduce mesh dependency, as discussed in
352 previous studies (e.g., Summersgill et al. 2017). During the post-peak softening stage, the strain is
353 localized in the shear band. For sand, the thickness of the shear band (t_s) could be related to mean
354 particle size (e.g., Guo 2012). For sensitive clays, the shear band thickness is generally small and
355 its formation is complex, as reported from digital image analyses in laboratory tests (Thakur et al.
356 2018). Similarly, the characterization of shear bands based on field measurements is difficult. For
357 example, Lehtonen et al. (2015) reported inconclusive locations of slip surface in a full-scale test
358 of an embankment on sensitive clay, although they found a localized plastic shear deformation
359 zone. The numerical simulation of such small thickness shear bands is not practical, and therefore,

360 a computationally acceptable FE model can be developed using the element size scaling rule
361 (Pietruszczak and Mróz 1981; Andresen and Jostad 2004; Soga et al. 2016). The thickness of
362 finite element (t_{FE}) can be significantly larger than the real shear band thickness because the strain
363 localization primarily occurs through one row of elements along the shear band (Anastasopoulos
364 et al. 2007; Dey et al. 2015; Zhang et al. 2015). Therefore, in those studies, the simulations were
365 performed adopting a scaling rule—the post-peak plastic shear strain required to mobilize a shear
366 strength is inversely proportional to the thickness of the finite element. Some studies used a
367 nonlocal regularization approach by spreading the localized strain over a predefined surrounding
368 zone (D’Ignazio et al. 2017; Summersgill et al. 2017).

369 The importance of mesh-size regularization is shown by conducting analyses of Slope-I for
370 three mesh sizes. As shown in Fig. 3 and Table 1, the post-peak plastic shear displacement, which
371 is independent of mesh size, is used to define post-peak softening. However, for the input in FE
372 modelling, the post-peak plastic shear strain (γ^p) is required, which is calculated as δ/t_{FE} , assuming
373 the simple shear condition and $t_s = t_{FE}$. This implies that a faster s_u degradation behaviour should
374 be used for a larger element. For example, s_{u95} mobilizes at $\gamma_{95}^p = \delta_{95}/t_{FE}$ of 3.5%, 7% and 14%
375 for element sizes of 1.0 m, 0.5 m and 0.25 m, respectively, for the same $\delta_{95} = 0.035$ m. Further
376 discussion on this type of mesh regularization could be found in Dey et al. (2015) and Zhang et al.
377 (2015). The analysis becomes computationally very expensive for a very small finite element size
378 comparable to the shear zone thickness that observed in some post-slide investigations (few
379 centimeters to few decimeters (Leroueil 2001)).

380 Figures 5(a, d and g) show that the formation of the shear bands at $t = 8.5$ s is very similar for
381 all three mesh sizes. For $t = 17$ s and 30 s, the extent of the failure zone is very comparable;
382 however, diffused plastic zones form in the coarse mesh model and the failure pattern is not clear

383 (e.g. Figs. 5(h and i)) while the shear bands are clear in the fine mesh model (e.g., Figs. 5(b and
384 c)).

385 Simulation is also performed with 0.5 m mesh but without mesh regularization (Fig. 5(j–l)).
386 Comparison between Figs. 5(d–f) and 5(j–l) shows that the extent of failure is significantly smaller
387 when mesh regularization is not considered. Debnath et al. (2018) conducted large deformation
388 FE simulations of rapid offshore slope failure and run-out using the numerical approach presented
389 in this study and compared the results with computational fluid dynamics simulations and showed
390 that the mesh convergence can be achieved simply by reducing the mesh size if the soil does not
391 have strain-softening behaviour. The present study shows that, in addition to small mesh size, an
392 element size scaling rule is required for strain-softening materials.

393 In the present study, except for Figs. 5 (d–l) (e.g. mesh sensitivity), all the analyses are
394 performed with 0.25 m cubical elements.

395 Effect of slope angle

396 Figure 6 shows the results for three slope angles with a constant slope height (15 m). Global
397 failure occurs quickly in the steep slope during the earthquake (Fig. 6(a)); however, at this time (t
398 = 8.5 s) no plastic shear strain generates in the gentle slope (Fig. 6(g)). Retrogressive failure of a
399 number of soil blocks occurs during and after seismic acceleration. Both L_R and L_U increase with
400 an increase in steepness of the slope (Fig. 6(c, f and i)). Locat et al. (2013) showed the increase of
401 retrogression with slope angle, except for a high coefficient of earth pressure at rest, for the failure
402 triggered by toe erosion.

403 Maximum retrogression and runout distances

404 Based on post-slide investigations, attempts have been made in the past to relate flowslide
405 potential with topography (e.g. slope geometry, downslope gradient), geotechnical properties (e.g.

406 remoulded shear strength, sensitivity, liquidity index and stability number) and percentage of
407 sensitive clay volume in the sliding mass (Tavenas 1984; Leroueil et al. 1996; Strand et al. 2017).
408 Thakur and Degago (2014) proposed a simplified analytical method for estimation of flowslide
409 potential based on remoulding energy (Tavenas et al. 1983). Simplified methods have been
410 proposed to estimate the maximum retrogression distance (Mitchell and Markell 1974; Carson
411 1979; Quinn et al. 2011); however, Demers et al. (2014) found a large discrepancy in estimated
412 values using these methods when compared with historical landslide data in Québec, Canada. The
413 effects of downslope profile and strain-softening behaviour are examined with 12 simulations with
414 soil parameters listed in Table 1, unless otherwise mentioned.

415 Two simulations are performed with a downslope profile (ba in Fig. 1(a)) inclined downward
416 at 3° and 5° to the horizontal, while the main slope (bc) is same as the one above (2:1). The
417 maximum runout distance for 3° is higher (169 m) than that calculated for a flat downslope profile
418 (0°) (120 m) (Case III in Table 2); however, the maximum retrogression distance is almost the
419 same (~ 61 m) in both cases. For a 5° inclined downslope, the runout did not stop because the
420 remoulded soil can flow on this inclined surface as $s_{u(ld)}$ is very small. Note that, in the field, runout
421 might be stopped when the movement of the failed soil is obstructed by the other bank of the river,
422 as happened in the 2010 Saint-Jude landslide in Quebec (Locat et al. 2017).

423 In order to investigate the effect of post-peak softening behaviour, a total of 10 simulation
424 results for varying s_{uR} , $s_{u(ld)}$, δ_{95} and δ_{ld} is summarized in Table 2. For brevity, instead of presenting
425 progressive failure with time, as shown in Figs. 4–6, the maximum retrogression (L_{Rmax}) and runout
426 (L_{Umax}) distances, together with retrogression in the upslope areas, are shown in Table 2. The slip
427 surfaces shown in the figures in the last column of Table 2 are drawn through the highly

428 concentrated plastic shear strain zones when the failure of a soil block occurs from the intact soil.
429 The following are the key observations from these simulations.

430 i) The failure of a new soil block, not only the first one but also in retrogression, initiates with a
431 rotational slide, except for the detachment of some soil blocks in Cases-VII and VIII (triangular
432 blocks M1–M5). However, during downslope movement, the failed soil blocks breaks into
433 smaller pieces due to the formation of shear bands at an approximately 45° angle to the
434 horizontal. Therefore, for a large displacement, some of the soil blocks look like horsts, as is
435 commonly observed in spread type failure (Fig. 4(g)). The depth of rotational slides decreases
436 with retrogression for the cases with a low s_{u0}/s_{uR} (e.g. Cases-I–VI). Note that a decrease in
437 depth of the sliding plane has been observed in the field for some landslides, as discussed
438 above. The width of the zone of plastic shear strain around the failure planes increases with
439 displacement (e.g. Fig. 4(g)), which causes remoulding of the soil and thereby runout potential.

440 ii) Comparison of the simulation results for cases III, IX and X shows that a decrease in δ_{95}
441 increases the maximum retrogression and runout distances, which is because of the increase in
442 the general brittleness index of the soil ($= (s_{u0} - s_u)/s_{u0}$) (D’Elia et al. 1998). This trend is similar
443 to sensitive clay slope failure due to toe erosion (Locat et al. 2013; Dey et al. 2015).

444 iii) For a given s_{u0} , the reduction of s_{uR} also increases the general brittleness index. With an
445 increase in s_{u0}/s_{uR} ratio, the maximum retrogression and runout distances increase (compare
446 Cases III, VII and VIII). Moreover, the failure pattern changes from flowslide to a combination
447 of flowslide and spread with an increase in s_{u0}/s_{uR} ratio (Cases III and VII). The Λ - and V-
448 shaped blocks are similar to horsts and grabens, respectively, which are commonly observed
449 in the spread. Similar composite failure patterns—rotational flowslide followed by a spread
450 with the formation of horsts and grabens—have been reported for the Mink Creek landslides

451 in British Columbia, Canada (Geertsema et al. 2006). The tip angle of the horst is 90° because
452 the simulation is performed for $\phi = 0$ condition. However, in the spreads in eastern Canada, the
453 horst tip angle of 50° – 70° has been observed (Locat et al. 2011). More advanced soil model
454 might be able to simulate this shape of the horst.

455 iv) Both retrogression and runout distances increase when post-peak reduction of s_u occurs
456 quickly. Strain-softening immediately after the peak (bcd in Fig. 3) influences primarily the
457 retrogression (compare Cases III, VII and VIII), while the second part (de in Fig. 3) has more
458 influence on runout than retrogression (compare Cases I–V). For the cases listed in Table 2,
459 $L_{Umax}/L_{Rmax} = 0.9$ – 3.4 . Note that based on field observations, Thakur (2016) showed
460 $L_{Umax}/L_{Rmax} \sim 0.5$ – 3.0 for a varying downslope terrain and failure type.

461 v) An increase in mobility of debris—due to low shear strength at large strains and/or increase in
462 downslope gradient—increases flowslide potential. This is similar to previous studies, where
463 it is shown that a low residual shear strength and favourable downslope topography increase
464 flowslide potential together with an increase in runout and retrogression distances (Mitchell
465 and Markell 1974; Thakur 2016).

466 vi) Figure 7(a) shows that both retrogression and runout distances decrease with an increase in
467 remoulded energy (E_R). Here E_R is calculated as the area below the stress–strain curve up to
468 point e in Fig. 3 (Tavenas et al. 1983; Thakur and Degago 2014). In the present study, s_{u0} in
469 the sensitive clay layer increases with depth; therefore, E_R is calculated based on the average
470 peak shear strength between the ground surface and toe level ($= 40$ kPa). Moreover, the trend
471 line for retrogression in Fig. 7(a) is drawn without Cases-VII and VIII because in these cases
472 the failure involves both flowslide and spread. Figure 7(b) shows that L_{Umax} and L_{Rmax} increase
473 with kinetic energy (E_k). Here, E_k is calculated by subtracting E_R from potential energy ($=$

474 $2\gamma H/3$, where H is the height of the slope) (Thakur and Degago 2014). Finally, although the
475 data points are scattered, Fig. 7(c) shows a trend: the higher the retrogression distance, the
476 higher the runout distance. Similar trends for L_{Umax} and L_{Rmax} have been reported from field
477 observations (Tavenas et al. 1983; Thakur and Degago 2014).

478 *Slope-II: Slightly inclined upslope ground surface*

479 Figure 8 shows the dynamic FE simulation results for Slope-II (Fig. 1b) with an upslope
480 ground surface inclination $\alpha = 3^\circ$. To ensure that the slope is stable under gravity load, the height
481 of the slope considered in this case is 10 m (cf. 15 m in Slope-I, III and IV). During the initial stage
482 of the earthquake, a horizontal shear band develops (Fig. 8(a)). With the continuation of earthquake
483 loading, the soil mass above the horizontal shear band breaks into V- and Λ -shaped blocks forming
484 horsts and grabens (Figs. 8(b–d)). The propagation of the horizontal shear band continues during
485 the last stage of the earthquake ($t = 17\text{--}19.95\text{s}$) and post-quake stage because of displacement of
486 the failed soil mass. At $t = 25\text{ s}$, a large monolithic slab fails causing huge retrogression, $L_R = 159.2$
487 m (Fig. 8(e)). The failed soil blocks displace further, which creates a large graben near the
488 backscarp by the formation of another inclined shear band (Fig. 8(f)). Dislocation of large
489 monolithic slabs was observed along B-Street and D-Street after the Alaska earthquake (Moriwaki
490 et al. 1985), which occurred due to undrained shear strength loss of the sensitive Bootlegger Cove
491 clay (Stark and Contreras 1998). Monolithic slab type failure of sensitive clay slopes has also been
492 reported in other studies (Legget and LaSalle 1978; Desjardins 1980; Karlsrud et al. 1985). The
493 present FE analysis can explain some of the mechanisms that could cause this type of failure.

494 The effects of α on failure mechanism are shown in Fig. 9. For small α ($= 1.5^\circ$), rotational
495 failure of only one soil block occurs. For $\alpha = 3^\circ$, in addition to rotational slides near the toe, a large
496 monolithic slide occurs, as discussed in previous sections. However, for $\alpha = 4^\circ$, only two rotational

497 slides occur without any monolithic slide. Very small retrogression occurs for $\alpha = 4^\circ$ ($L_R = 65.2$
498 m) compared to the analysis for $\alpha = 3^\circ$ ($L_R = 159.2$ m) (Figs. 9 (f and i)). These simulations show
499 that a favourable α is required for a monolithic slide, and for the conditions used here, it occurs at
500 $\alpha = 3^\circ$.

501 *Slope-III: With an upslope distributed load*

502 Upslope loading might significantly affect the failure of slopes, which has been observed in
503 the field and verified with numerical modeling for monotonic loading (Bernander 2000; Bernander
504 et al. 2016; Wang et al. 2013; Dey et al. 2016a; Wang and Hawlader 2017) and dynamic loading
505 (Seed and Wilson, 1967; Barnhardt et al. 2000; Kourkoulis et al. 2010). Figure 10 shows the
506 formation of failure planes when a uniform surcharge $q = 80$ kPa exists at 100 m distance from the
507 crest. The slope is stable and there is no plastic shear strain below the surcharge at the end of the
508 gravity step. With dynamic loading, rotational failure occurs by formation of a number of global
509 failure planes (Figs. 10(a–d)). At the same time, a steep shear band generates below the surcharge
510 (Fig. 10(c)). As the movement of the failed soil mass continues, additional shear bands form,
511 causing retrogressive failure of the slope during the earthquake and post-quake stages (Figs. 10(e
512 and f)). The number of shear bands below the surcharge increases and finally a long horizontal
513 shear band joins the two failure zones. A similar type of large graben formation below the loaded
514 areas has been inferred from post-slide investigations of the L-Street slide due to the 1964 Alaskan
515 earthquake (Moriwaki et al. 1985). Note however that, in the field, the shear strength of soil under
516 the loaded area might be changed due to consolidation, which has not been considered in the
517 present FE simulations.

518 A parametric study is conducted varying q between 0 and 80 kPa (Fig. 11). The extent and
519 pattern of failure for $q = 0$ and 20 kPa are similar (Figs 11(a–f)). The influence of q on slope failure
520 is found for $q = 40$ kPa, which increases L_R by 8.5 m compared to the no-surcharge case (Figs.
521 11(c and i)). For a large q ($= 80$ kPa), slope failure planes join the failure planes below the
522 surcharge through the formation of an additional horizontal shear band. Note that the distance of
523 the surcharge load from the crest also influences the failure of the slope (Dey et al. (2016a)).

524 *Slope-IV: Highly sensitive clay at toe depth*

525 The existence of a thin weak layer has been considered as a potential reason for many large-
526 scale landslides. After the 1964 Alaskan earthquake, tests were conducted building model slopes
527 with a thin extremely weak soil layer at the depth of the toe to understand retrogressive failure
528 mechanisms. Figure 12 shows the effects of a highly sensitive clay layer ($s_{u0}/s_{uR} = 30$) of thickness
529 $H_q = 3.0$ m on slope failure. The first shear band does not form horizontally through the highly
530 sensitive clay layer; instead, a curved failure plane forms along the critical location (Fig. 12(a)).
531 After that, a shear band propagates almost horizontally through the highly sensitive clay layer
532 (Figs. 12(b–f)). Because of the highly sensitive clay layer, the failure surfaces develop very quickly
533 compared to Slope-I (cf. Fig. 4). The horizontal shear band through the highly sensitive clay layer
534 develops rapidly and the failed soil blocks dislocate very quickly in the downslope direction,
535 resulting in formation of a number of horsts and grabens (Figs. 12(e–f)). Similar failures have been
536 observed in the field. For example, the Turnagain Heights landslide triggered by the 1964 Alaskan
537 earthquake shows a similar failure pattern (Seed and Wilson 1967; Barnhardt et al. 2000).

538 Figure 13 shows a parametric study for the thickness of the highly sensitive clay layer, H_q ($=$
539 1.0–6.0 m). The bottom of the highly sensitive clay layer is placed at the level of the toe of the
540 slope. As the height of the slope is the same (15 m), the thickness of the overlain sensitive clay

541 layer varies between 9.0 and 14 m. At $t = 8.5$ s, the rotational slide of the first soil block is very
542 similar for all three cases (Figs. 13(a, d and g)). The retrogression process is slow for $H_q = 6.0$ m
543 (Fig. 13(h)) compared to the other two cases (Figs. 13(b and e)), because the failure planes tend to
544 propagate upward in the cases of a thick highly sensitive clay layer. For $H_q = 6.0$ m, after the first
545 rotational slide, shallow retrogressive failure occurs. However, for a thin H_q , a horizontal shear
546 band forms first and then the inclined shear bands generate in the overlain sensitive clay with
547 displacement of the failed soil blocks (Figs. 13(b and e)). At $t = 30$ s, the maximum retrogression
548 ($L_R = 180$ m) is found for the thinnest case (Fig. 13 (c)). Slightly more runout is found for $H_q = 6.0$
549 m because a large volume of extremely weak highly sensitive clay facilitates downslope sliding of
550 the failed soil blocks (Fig. 13 (h and i)).

551 **Conclusions**

552 Post-slide investigations show that many large-scale landslides in sensitive clays due to
553 earthquake involve the failure of a number of soil blocks commonly classified as spread, flowslide
554 and/or monolithic slides. These types of landslide cannot be analyzed using the traditional limit
555 equilibrium or Lagrangian-based FE methods because the failure surfaces develop progressively
556 and extremely large strains generate along the failure planes that causes numerical instability in
557 typical Lagrangian FE analysis. This paper presents large deformation FE modelling of the failure
558 of sensitive clay slopes due to an earthquake. Dynamic FE simulations are performed for four
559 hypothetical slope profiles for a given earthquake acceleration–time history. The failure initiates
560 with a rotational slide of a soil block and then retrogresses in the upslope areas during the
561 earthquake and also in the post-quake phase. FE simulations show that significant retrogression
562 and runout could occur in the post-quake phase, which is similar to many post-slide field
563 observations.

564 The following conclusions are drawn from this study:

- 565 a) The geometry of the slope and soil properties significantly influence failure patterns. The
566 faster the reduction of shear strength after the peak (increased general brittleness index),
567 the larger the extent of failure. Low shear strength at large strains and increasing downslope
568 gradient increase the mobility of the failed soil mass and thereby landslide extent,
569 especially runout.
- 570 b) An increase in remoulding energy reduces the retrogression and runout distances. For
571 Slope-I, the ratio between the maximum runout and retrogression distance is 0.9–3.4.
- 572 c) A large monolithic slide might occur for a favourable upslope ground surface inclination.
573 However, a lesser extent of failure is found for higher or lower upslope angles than for the
574 favourable one.
- 575 d) For the cases analyzed, most of the failure of soil blocks from intact soil occurs by a
576 rotational slide, except for Slope-IV. However, in some cases, after retrogression to a
577 certain distance, the failure pattern changes to spread (horst and graben) and monolithic
578 slide of a large block, which indicates that a combination of different types of failure is
579 possible in a large landslide, as reported from post-slide investigations in some studies.
- 580 e) A sufficiently large upslope surcharge exacerbates slope failure. A deep-seated graben
581 forms under the loaded area, as observed in the field (e.g. after the 1964 Alaskan
582 earthquake).
- 583 f) A highly sensitive clay layer at the level of the toe increases the propagation propensity of
584 the horizontal shear band which causes spread type failure (Slope-IV). The propagation is
585 higher for a thin highly sensitive clay layer case as compared to a thick one.

586 Although the analysis presented in this study is for idealized slopes, the numerical modelling
587 technique has profound engineering implications. The empirical correlations proposed in previous
588 studies—as a function of different parameters such as stability number, remoulded shear strength,
589 liquidity index, and soil sensitivity—can be used for an estimation of retrogression and runout
590 distance. Recognizing the significant uncertainties in such estimation, site-specific numerical
591 analyses can be performed for the critical sections of the slope, using the method presented in this
592 study. However, for this type of large deformation FE analysis, a proper estimation of geotechnical
593 parameters and earthquake acceleration–time history is required. Further research is warranted on
594 modelling of soil, especially the strain-softening behaviour of sensitive clays under dynamic
595 loading.

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List of Symbols

The following symbols are used in this paper:

- α upslope ground inclination
- β stiffness proportional damping
- δ_t accumulated shear displacement
- δ_e elastic shear displacement
- δ accumulated plastic shear displacement during strain softening

- δ_{95} δ at which s_u reduced by 95% of $(s_{u0} - s_{uR})$
- δ_{pc} accumulated plastic shear displacement for initiation of strain-softening
- ϵ_q^p equivalent plastic shear strain
- γ^p engineering plastic shear strain
- n exponent for K_0 for OC clay
- ν_u undrained Poisson's ratio
- E_k kinetic energy
- E_R remoulded energy
- E_u undrained Young's modulus
- H_q highly sensitive clay layer thickness (Slope-IV)
- K_0 earth pressure coefficient at-rest
- $K_{0(NC)}$ K_0 for NC clay
- L_R retrogression distance
- L_S slope length
- L_U runout distance
- L_{Rmax} maximum retrogression distance
- L_{Umax} maximum runout distance
- M magnitude of earthquake
- OCR overconsolidation ratio
- q upslope vertical surcharge
- s_u mobilized undrained shear strength
- s_{u0} initial peak undrained shear strength
- s_{uR} s_u mobilized in shear band at considerable shear displacement

- $s_{u(ld)}$ s_u at very large displacements
- t_{FE} length of cubical elements used in FE analysis
- t_s thickness of shear band
- z depth below the crest of the slope

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Table 1. Geotechnical parameters used for base case analysis

Parameters	Value			
	Sensitive Clay	Stiff Clay	Base	Highly sensitive Clay (Slope-IV)
Undrained Young's Modulus, E_u (MPa)	10	10	100	10
Poisson's ratio, ν_u	0.495	0.495	0.495	0.495
Peak undrained shear strength, s_{u0} (kPa)	Linear [§]	Linear [§]	—	55
Remoulded undrained shear strength, s_{uR} (kPa)	$s_{u0}/3.5$	s_{u0}	—	$s_{u0}/30$
Large displacement undrained shear strength, s_{uld} (kPa)	$s_{u0}/16$	s_{u0}	—	$s_{u0}/50$
Plastic shear displacement for initiation of softening, δ_{pc} (m)*	0.006	—	—	0.006
Plastic shear displacement for 95% degradation of soil strength, δ_{95} (m)	0.035	—	—	0.01
Plastic shear displacement for large displacement undrained shear strength, δ_{ld} (m)	2	—	—	2
Saturated unit weight of soil, γ_{sat} (kN/m ³)	20	20	20	20
Rayleigh damping parameter, β	0.000375	0.000375	-	0.000375

[§] s_{u0} varies linearly with depth below the crest of the slope (z) as s_{u0} (in kPa)=25+2 z (in m)

* for FE input, the plastic shear strain is calculated using δ and thickness of finite element

Table 2. Effects of strain-softening parameters on failure of Slope-I

Case #	s_{u0}/s_{uR}	δ_{95} (m)	$s_{u0}/s_{u(d)}$	δ_{ld} (m)	E_R (kN-m/m ³)	E_k (kN-m/m ³)	L_{umax} (m)	L_{Rmax} (m)	Retrogression pattern
I	3.5	0.035	3.5	2.0	96	104	44	49	
II	3.5	0.035	8	2.0	73	127	79	51	
III	3.5	0.035	16	2.0	60	140	120	61	
IV	3.5	0.035	16	0.5	18	182	129	63	
V	3.5	0.035	50	0.5	16	184	189	79	
VI	3.5	0.035	50	0.25	10	190	196	58	
VII	6	0.035	16	2.0	40	160	170	138	

VIII	9	0.035	16	2.0	31	169	182	112	<p>8.3 8.4 12.6 12.8 16.8 18.8 19.0 17.0 s M4 M5</p>
IX	3.5	0.07	16	2.0	62	138	95	43	<p>12.4 12.5 19.6 25.0 s</p>
X	3.5	0.15	16	2.0	94	107	81	36	<p>12. 16. 20.8 s</p>

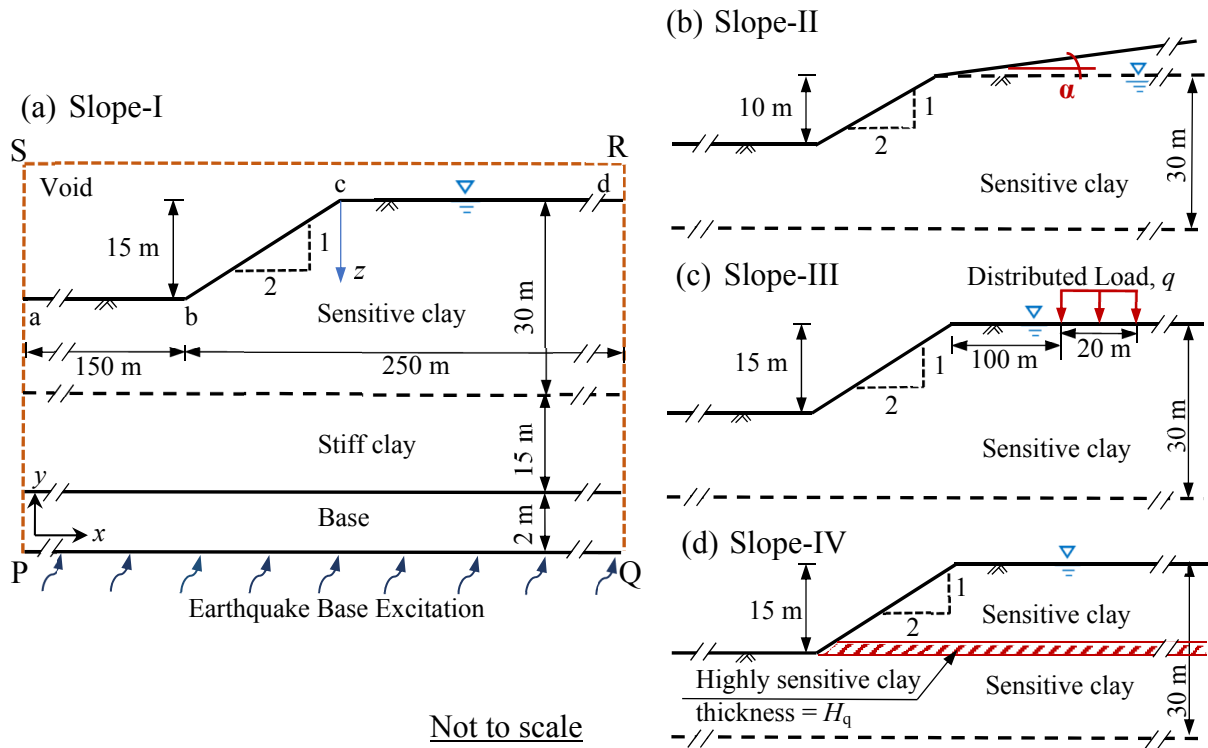


Fig. 1. Model geometries: (a) Slope-I, horizontal ground surface; (b) Slope-II, slightly inclined upslope ground surface; (c) Slope-III, with upslope distributed load, and (d) Slope-IV, highly sensitive clay layer at toe depth

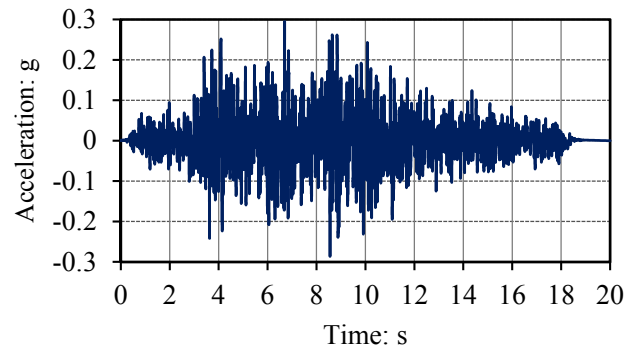


Fig. 2. Acceleration–time history used in finite-element analysis (modified from 1985 Nahanni earthquake)

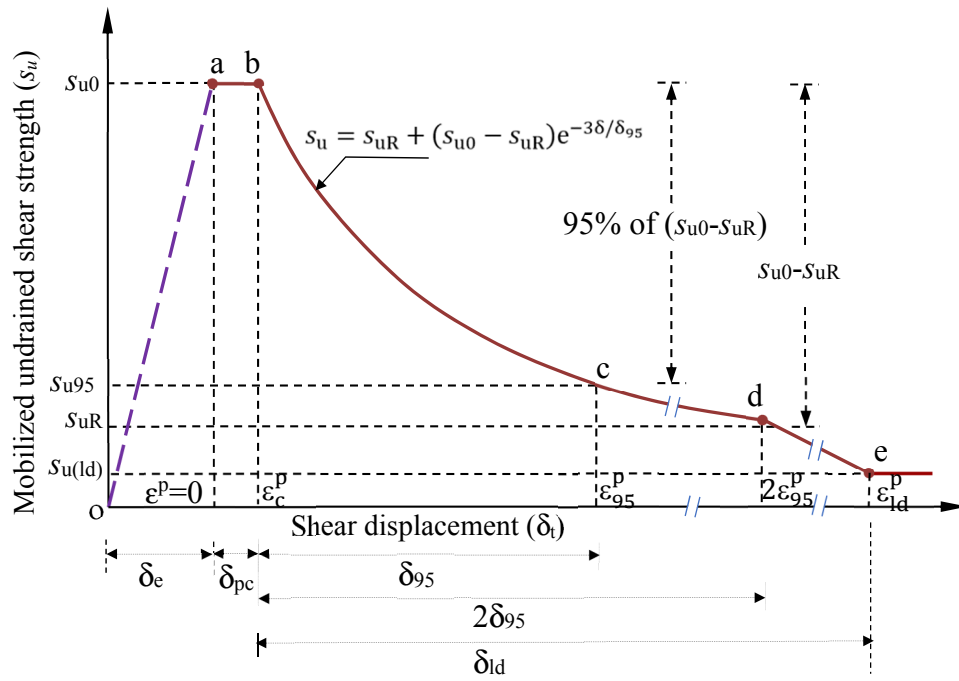


Fig. 3. Stress–strain behaviour used in finite-element modeling (after Dey et al., 2015)

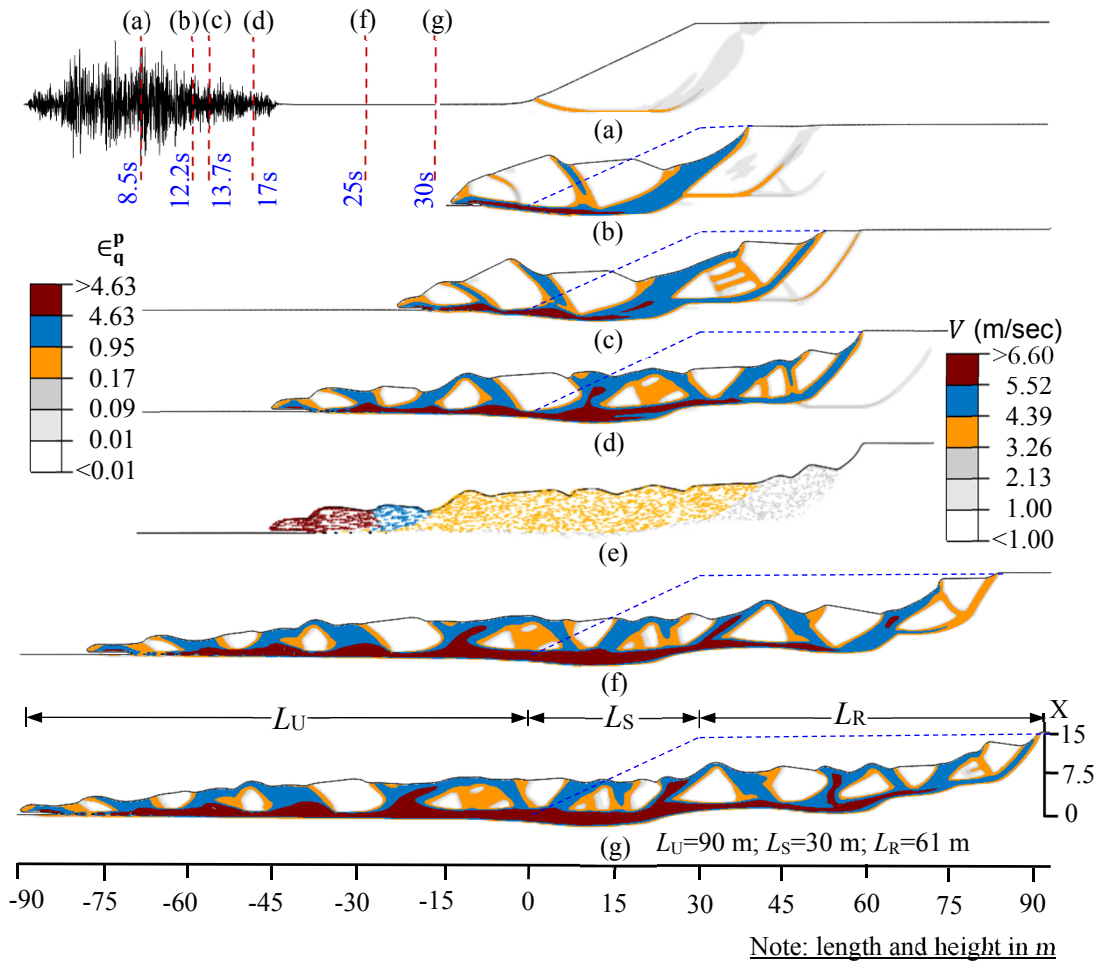


Fig. 4. Formation of failure planes in Slope-I

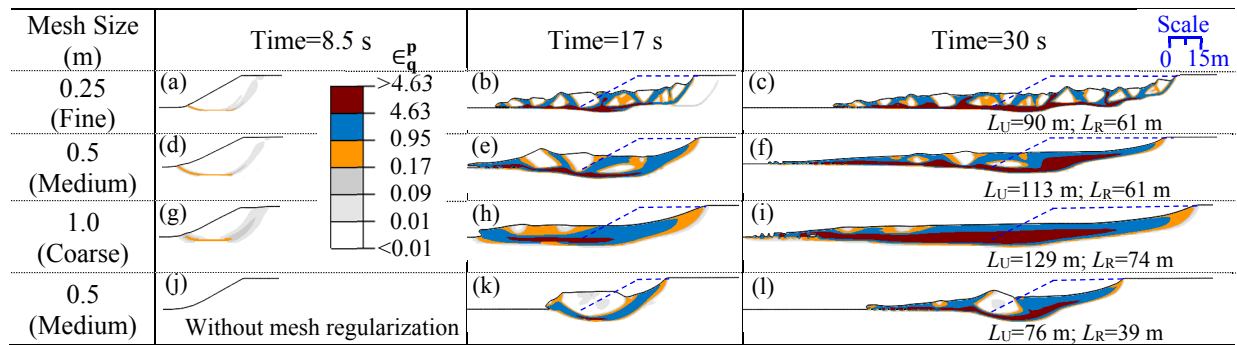


Fig. 5. Effect of FE mesh size on the formation of failure planes in Slope-I

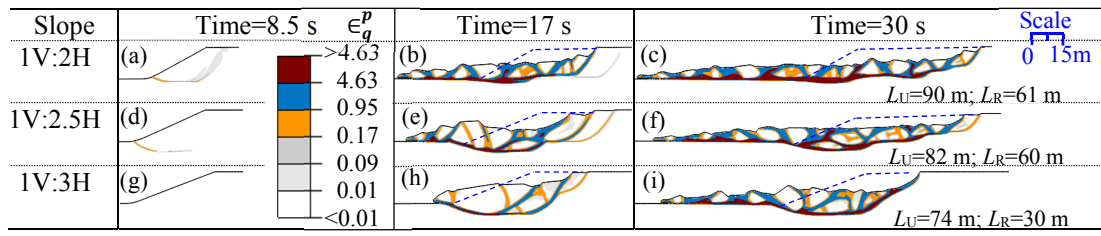


Fig. 6. Effect of slope inclination on failure of Slope-I

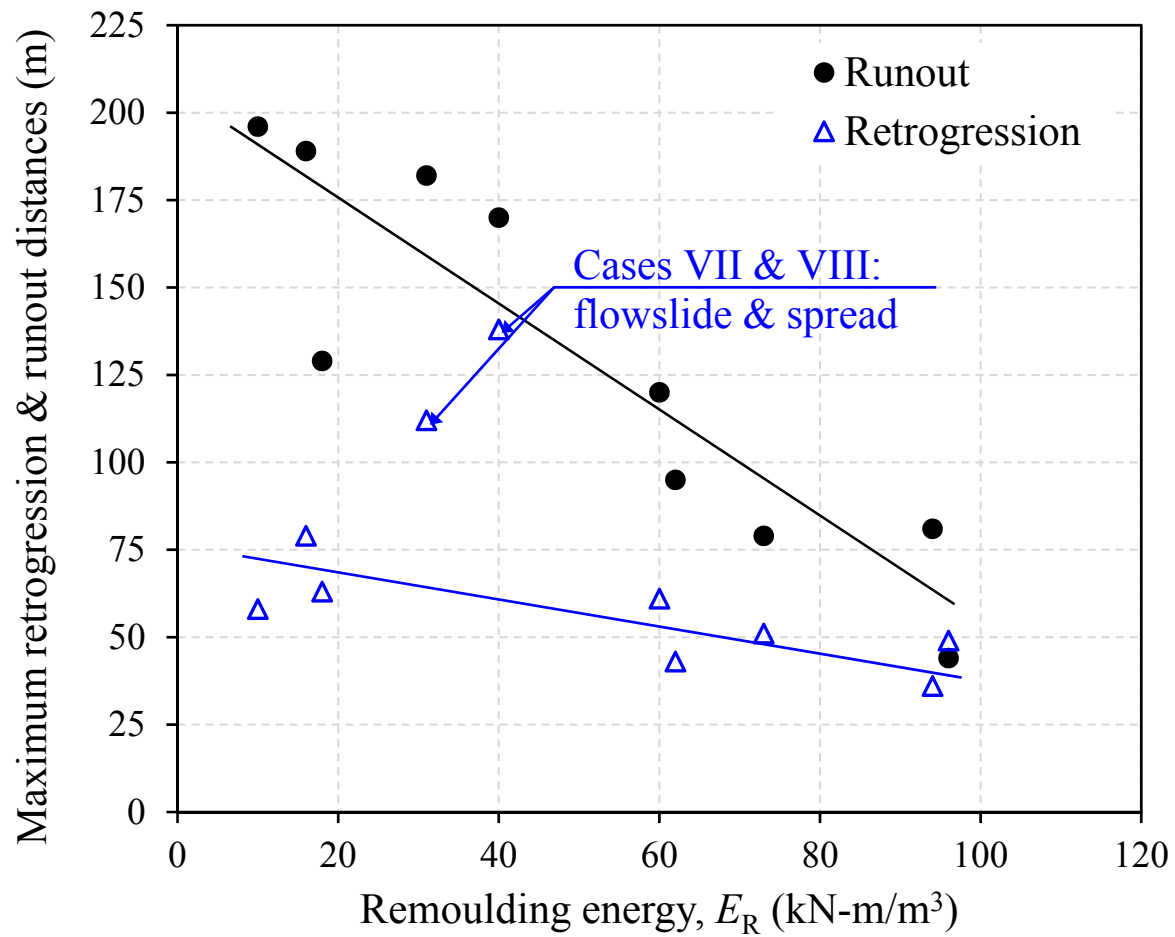


Fig. 7. Effects of post-peak softening on maximum retrogression and runout distances for Slope-I: (a) effects of remoulding energy

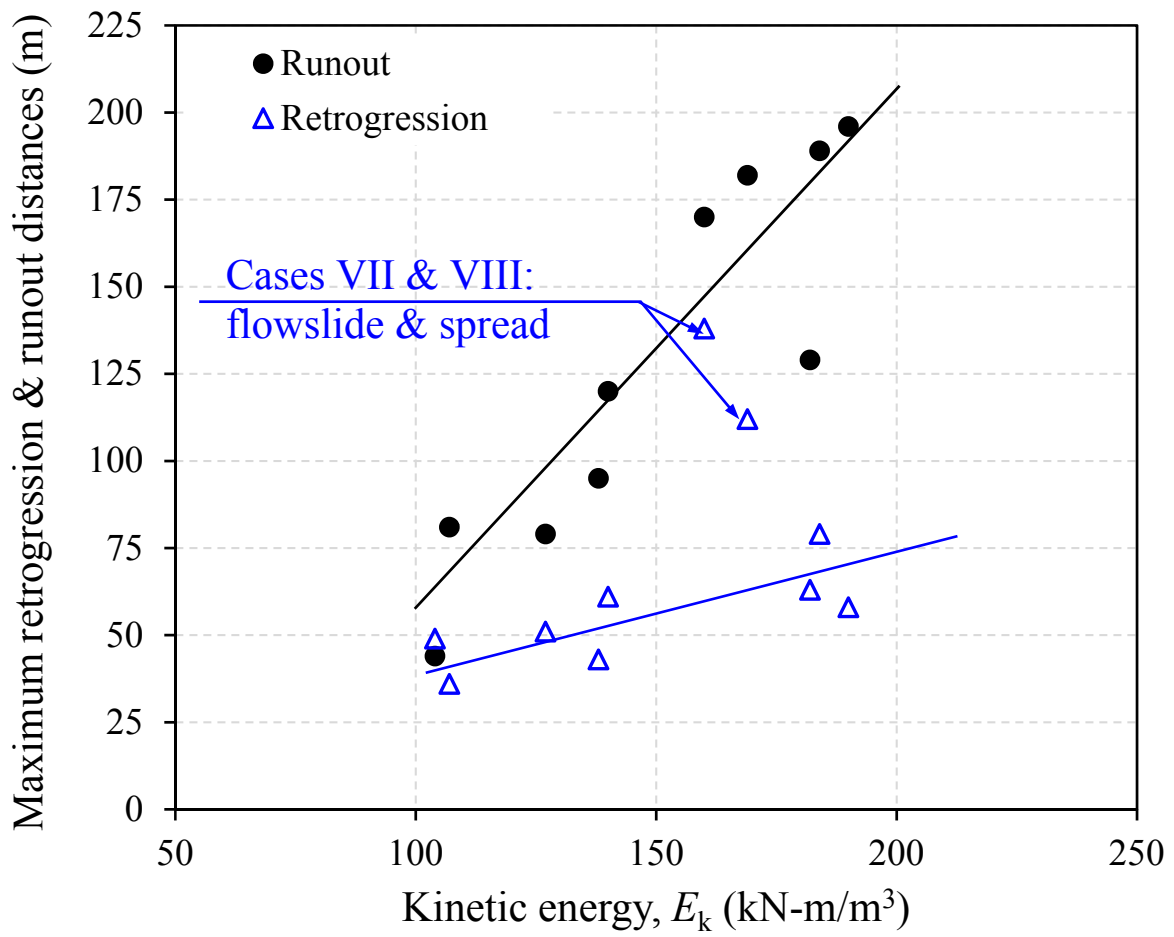


Fig. 7. Effects of post-peak softening on maximum retrogression and runout distances for Slope-I: (b) effects of kinetic energy

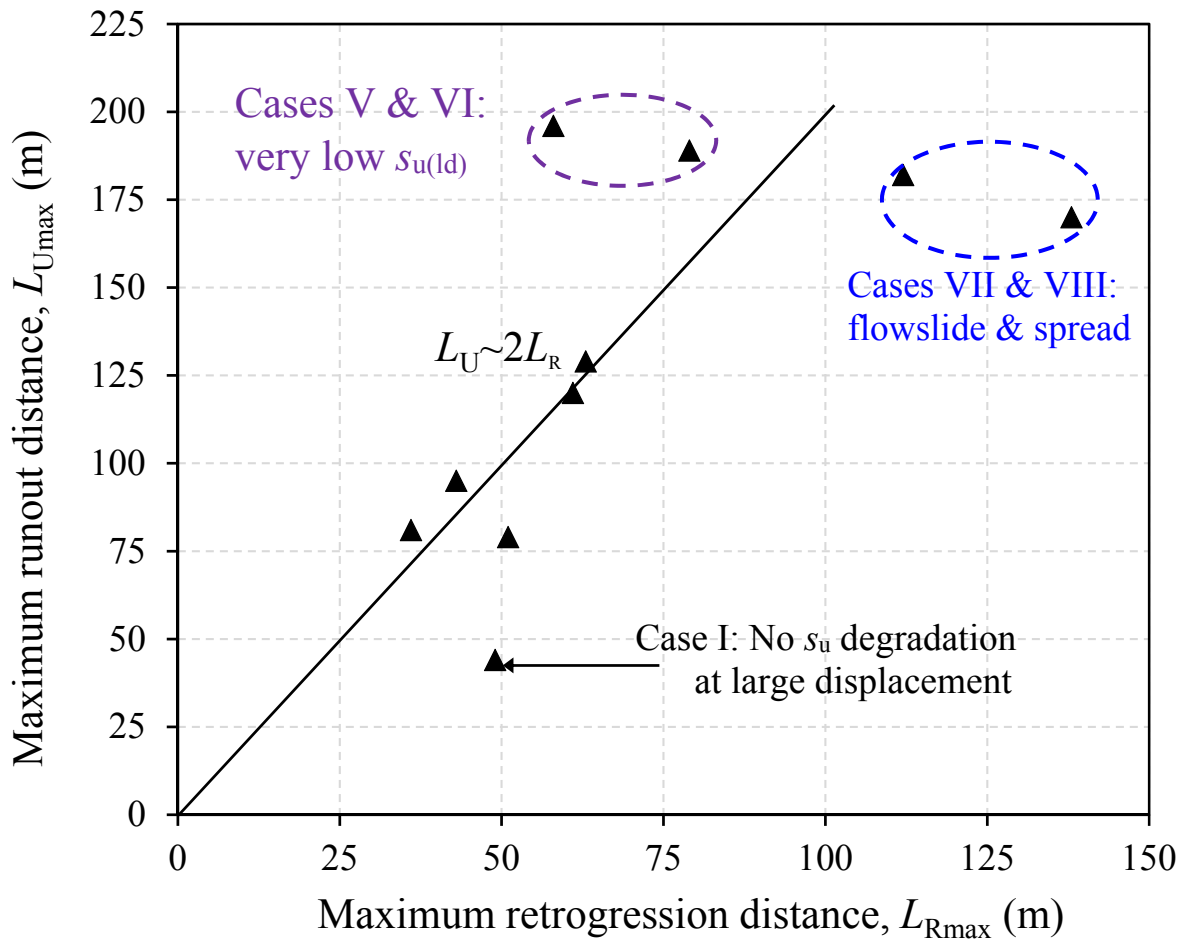


Fig. 7. Effects of post-peak softening on maximum retrogression and runout distances for Slope-I: (c) relation between retrogression and runout

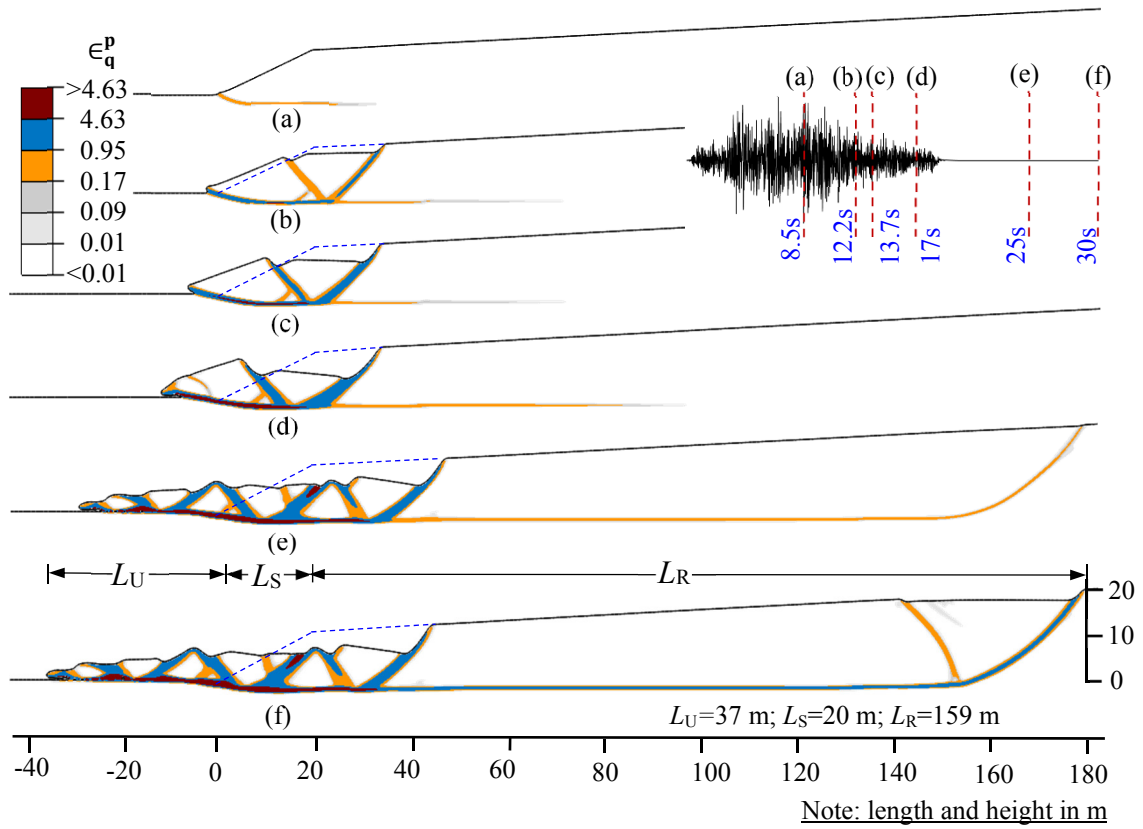


Fig. 8. Formation of failure planes in Slope-II

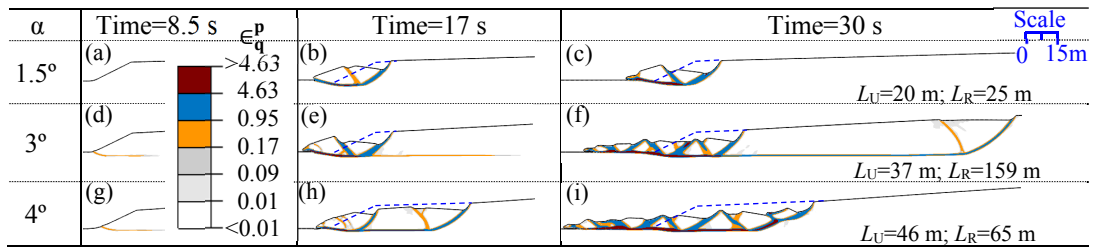


Fig. 9. Effect of upslope inclination on failure of Slope-II

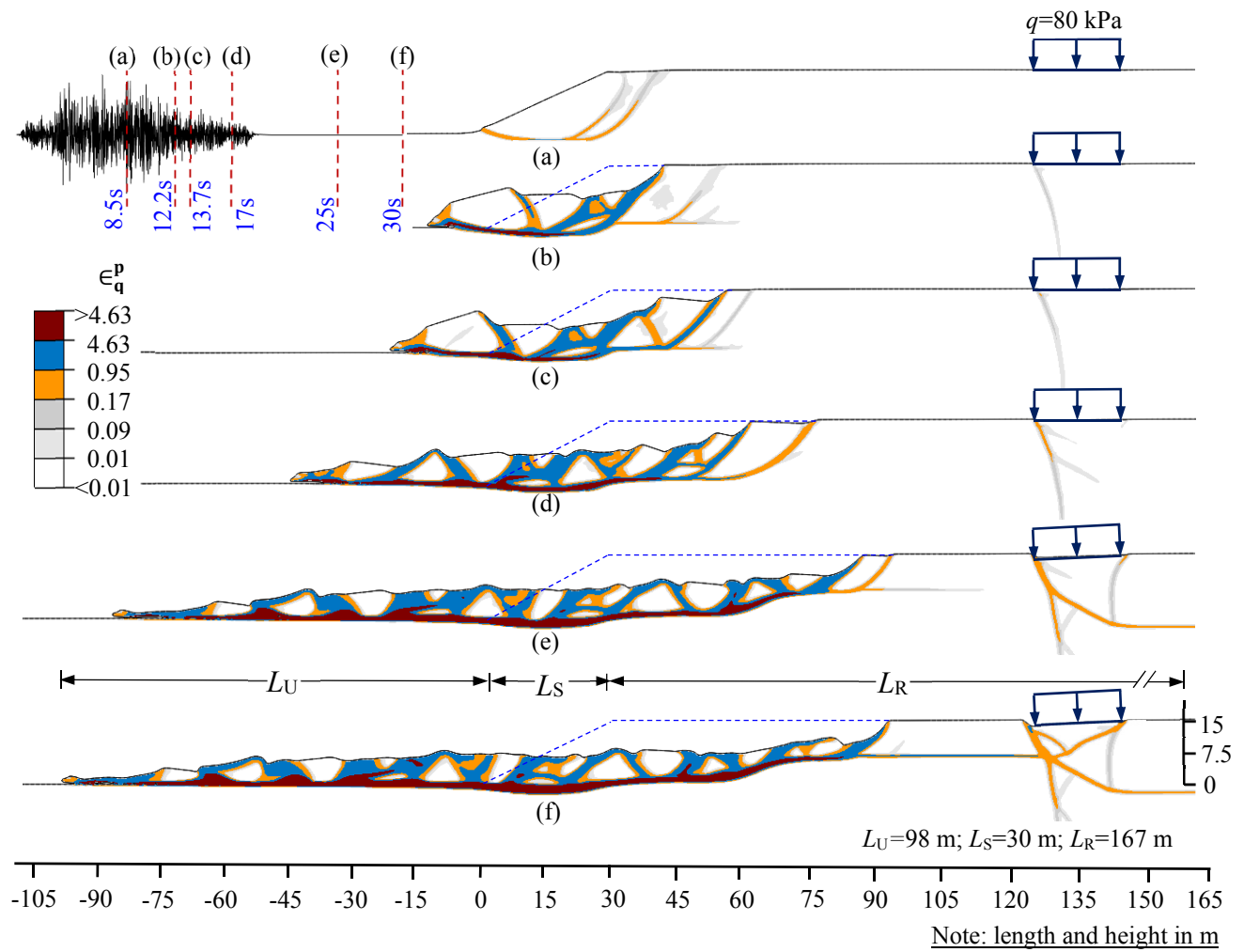


Fig. 10. Formation of failure planes in Slope-III

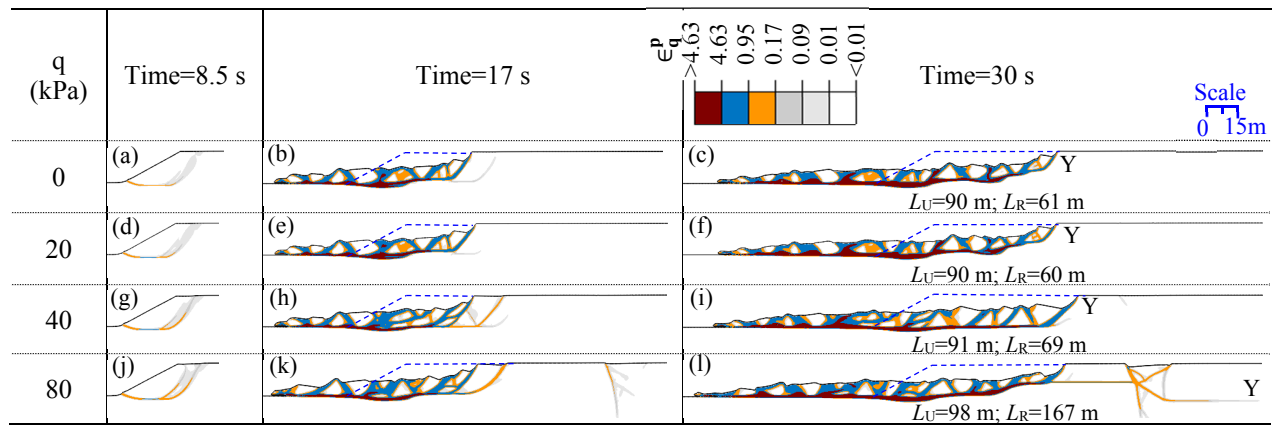


Fig. 11. Effect of distributed loads on failure of Slope-III

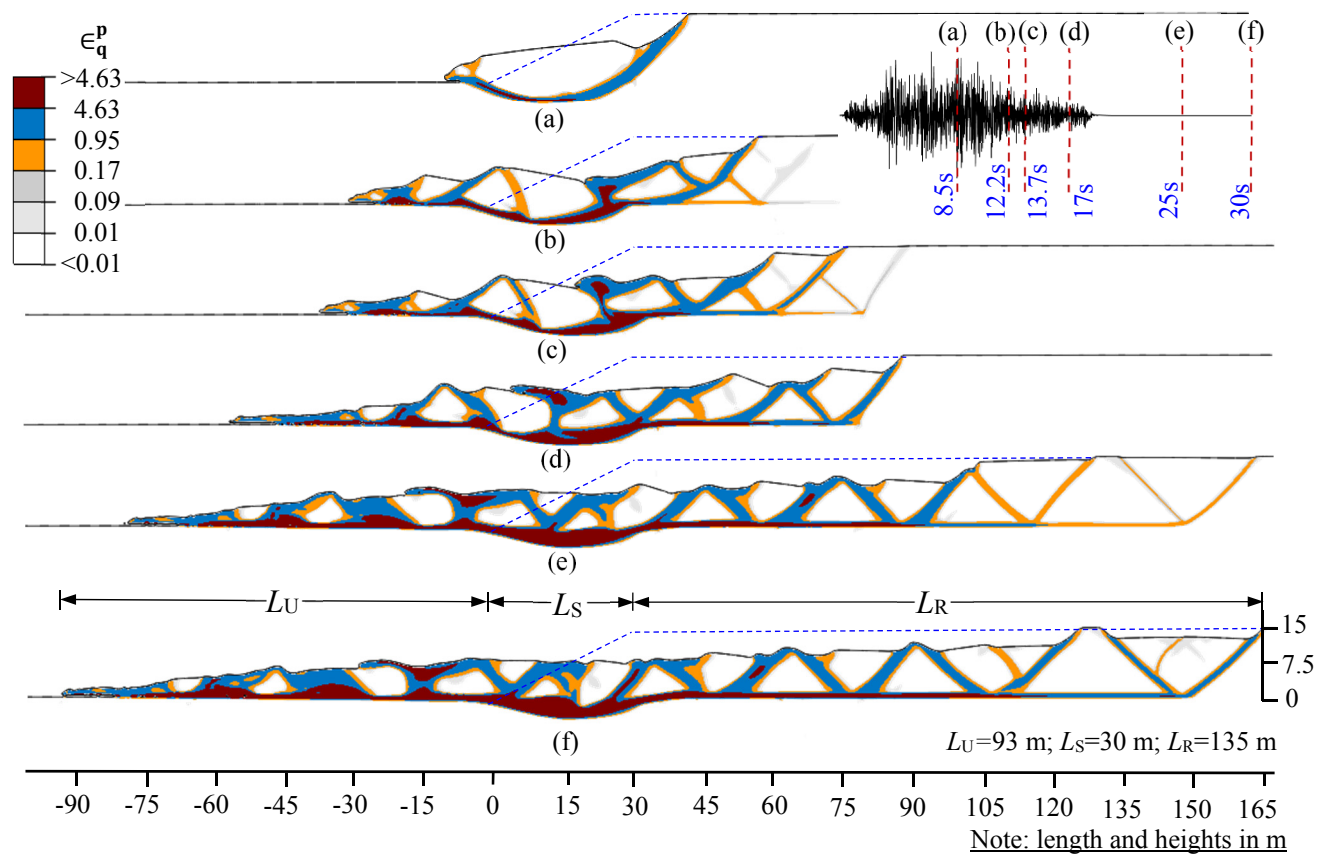


Fig. 12. Formation of failure planes in Slope-IV

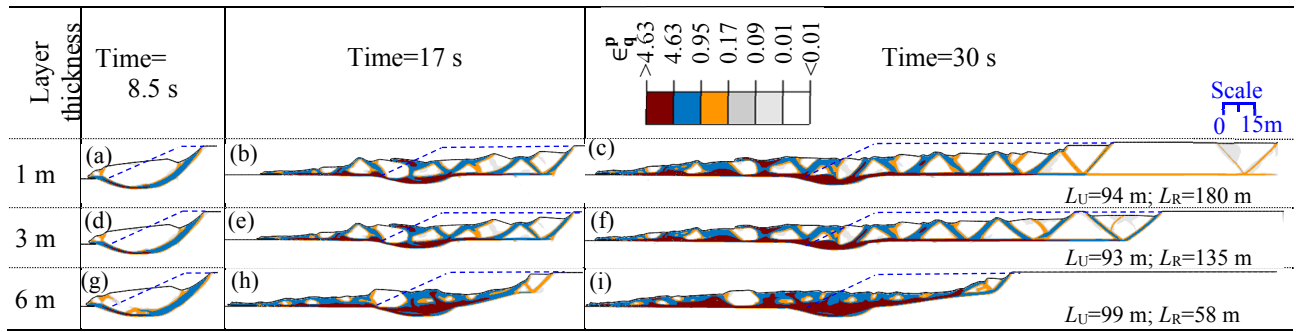


Fig. 13. Effect of change in highly sensitive clay layer thickness on failure of Slope-IV