5.1 <u>Subspaces and Spanning</u>

Example 5.1.1

The homogeneous linear system [a homogeneous version of Assignment 2 Question 2]

$$\begin{cases} x - 2y + z = 0 \\ 2x - 4y + 3z = 0 \\ -5x + 10y - 2z = 0 \\ 3x - 6y - z = 0 \end{cases}$$
 (or $AX = O$)

has a reduced row-echelon form

1	-2	0	0
0	0	1	0
0	0	0	0
0	0	0	0

leading to the one-parameter family of solutions

$\begin{bmatrix} x \end{bmatrix}$		$\begin{bmatrix} 0 \end{bmatrix}$		2
y	=	0	+ <i>t</i>	1
		0		0

Every solution is a multiple of the basic solution $\begin{bmatrix} 2 & 1 & 0 \end{bmatrix}^{T}$.

Geometrically this is a line through the origin in the *xy*-plane. All solutions can be represented as a simple multiple of the basic solution. This is an example of a one-dimensional **subspace** of \mathbb{R}^3 .

The set consisting of the single basic vector $\left\{ \begin{bmatrix} 2 & 1 & 0 \end{bmatrix}^T \right\}$ spans this subspace.

Example 5.1.2

A homogeneous linear system has the two-parameter family of solutions

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} + s \begin{bmatrix} 1 \\ 2 \\ 0 \end{bmatrix} + t \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$$

All multiples of the vectors $\begin{bmatrix} 1 & 2 & 0 \end{bmatrix}^T$ and $\begin{bmatrix} 1 & 0 & 1 \end{bmatrix}^T$ are in this solution set. A vector orthogonal to the solution set is

$$\vec{\mathbf{n}} = \begin{bmatrix} 1\\2\\0 \end{bmatrix} \times \begin{bmatrix} 1\\0\\1 \end{bmatrix} = \begin{vmatrix} \hat{\mathbf{i}} & 1 & 1\\ \hat{\mathbf{j}} & 2 & 0\\ \hat{\mathbf{k}} & 0 & 1 \end{vmatrix} = \begin{vmatrix} 2 & 0\\0 & 1 \end{vmatrix} \hat{\mathbf{i}} - \begin{vmatrix} 1 & 1\\0 & 1 \end{vmatrix} \hat{\mathbf{j}} + \begin{vmatrix} 1 & 1\\2 & 0 \end{vmatrix} \hat{\mathbf{k}} = 2\hat{\mathbf{i}} - \hat{\mathbf{j}} - 2\hat{\mathbf{k}}$$

A plane through the origin with this normal vector is -2x + y + 2z = 0. Therefore the solution set represents the plane -2x + y + 2z = 0. This is an example of a two-dimensional subspace of \mathbb{R}^3 .

Every solution can be represented as a linear combination of the basic solutions ГІІ

1		1	
2	and	0	
0		1	
			-т

The set $\{ \begin{bmatrix} 1 & 2 & 0 \end{bmatrix}^T, \begin{bmatrix} 1 & 0 & 1 \end{bmatrix}^T \}$ spans this subspace.

The solution set (subspace) U can be defined as

 $U = \text{span} \{ \begin{bmatrix} 1 & 2 & 0 \end{bmatrix}^{\mathrm{T}}, \begin{bmatrix} 1 & 0 & 1 \end{bmatrix}^{\mathrm{T}} \} = \{ s \begin{bmatrix} 1 & 2 & 0 \end{bmatrix}^{\mathrm{T}} + t \begin{bmatrix} 1 & 0 & 1 \end{bmatrix}^{\mathrm{T}} | s, t \in \mathbb{R} \}$

A set U of vectors in \mathbb{R}^n is a **subspace** of \mathbb{R}^n if:

1. The zero vector $\mathbf{0}$ is in U

- If X and Y are in U, then so is X + Y (closure under addition) 2.
- 3. If X is in U, then so is kX for all scalars k (closure under scalar multiplication)

The trivial set $U = \{0\}$ is a subspace of \mathbb{R}^n for all *n*.

 \mathbb{R}^{n} is a subspace of itself.

Any subspace of \mathbb{R}^n other than $\{0\}$ or \mathbb{R}^n is a **proper subspace** of \mathbb{R}^n .

For geometric vectors, lines through the origin are the only proper subspaces of \mathbb{R}^2 , while lines and planes through the origin are the only proper subspaces of \mathbb{R}^3 .

For non-zero non-parallel vectors v and w,

 $L = \text{span} \{ \mathbf{v} \}$ is the line through the origin parallel to vector \mathbf{v} .

 $M = \text{span} \{ \mathbf{v}, \mathbf{w} \}$ is the plane through the origin containing vectors \mathbf{v} and \mathbf{w} , with normal vector $\mathbf{n} = \mathbf{v} \times \mathbf{w}$.

Example 5.1.3 Vector \mathbf{a} is in $L = \text{span} \{ \mathbf{v} \}$, but vector \mathbf{b} is not. $\mathbf{a} = k\mathbf{v}$ for some scalar k. $\mathbf{b} \neq k\mathbf{v}$ for any scalar k.

If A is an $(m \times n)$ matrix, then its **null space** is the set of all solutions to the homogeneous equation AX = O:

$$\operatorname{null} A = \{ X \text{ in } \mathbb{R}^n \mid AX = O \}$$

null A is a subspace of \mathbb{R}^n .

In Example 5.1.1, null $A = \operatorname{span}\left\{ \begin{bmatrix} 2 & 1 & 0 \end{bmatrix}^{\mathrm{T}} \right\}.$

The eigenspace of A is $E_{\lambda}(A) = \operatorname{null}(\lambda I - A)$.

If $E_{\lambda}(A)$ contains more than the zero vector, then λ is an eigenvalue of A with corresponding eigenvectors being all members of the eigenspace except the zero vector. A spanning set for the eigenspace is a set of basic eigenvectors for that eigenvalue.

Example 5.1.4

For the subspace $U = \text{span} \{ \begin{bmatrix} 1 & 2 & 3 \end{bmatrix}^T, \begin{bmatrix} 3 & -1 & 1 \end{bmatrix}^T \}$, determine whether the following vectors are in U: $\mathbf{v} = \begin{bmatrix} 5 & 3 & 7 \end{bmatrix}^T$, $\mathbf{w} = \begin{bmatrix} 4 & 2 & 6 \end{bmatrix}^T$

If **v** is in U, then $\begin{bmatrix} 5 & 3 & 7 \end{bmatrix}^{T} = s \begin{bmatrix} 1 & 2 & 3 \end{bmatrix}^{T} + t \begin{bmatrix} 3 & -1 & 1 \end{bmatrix}^{T}$ for some scalars s and t.

$$\Rightarrow \begin{cases} 1s + 3t = 5\\ 2s - 1t = 3\\ 3s + 1t = 7 \end{cases} \Rightarrow \begin{bmatrix} 1 & 3 & | & 5\\ 2 & -1 & | & 3\\ 3 & 1 & | & 7 \end{bmatrix}$$
$$\xrightarrow{R_2 - 2R_1 \\ R_3 - 3R_1} \begin{bmatrix} 1 & 3 & | & 5\\ 0 & -7 & | & -7\\ 0 & -8 & | & -8 \end{bmatrix} \xrightarrow{R_2 \div (-7)} \begin{bmatrix} 1 & 3 & | & 5\\ 0 & 1 & | & 1\\ 0 & -8 & | & -8 \end{bmatrix}$$
$$\xrightarrow{R_1 - 3R_2 \\ R_3 + 8R_2} \begin{bmatrix} 1 & 0 & | & 2\\ 0 & 1 & | & 1\\ 0 & 0 & | & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} s \\ t \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

A unique solution for s and t exists: $\begin{bmatrix} 5 & 3 & 7 \end{bmatrix}^{T} = 2 \begin{bmatrix} 1 & 2 & 3 \end{bmatrix}^{T} + 1 \begin{bmatrix} 3 & -1 & 1 \end{bmatrix}^{T}$ Therefore $\mathbf{\bar{v}} \in U$.

If w is in U, then $\begin{bmatrix} 4 & 2 & 6 \end{bmatrix}^T = s \begin{bmatrix} 1 & 2 & 3 \end{bmatrix}^T + t \begin{bmatrix} 3 & -1 & 1 \end{bmatrix}^T$ for some scalars s and t.

$$\Rightarrow \begin{cases} 1s + 3t = 4 \\ 2s - 1t = 2 \\ 3s + 1t = 6 \end{cases} \Rightarrow \begin{bmatrix} 1 & 3 & | & 4 \\ 2 & -1 & | & 2 \\ 3 & 1 & | & 6 \end{bmatrix}$$
$$\xrightarrow{R_2 - 2R_1} \begin{bmatrix} 1 & 3 & | & 4 \\ 0 & -7 & | & -6 \\ 0 & -8 & | & -6 \end{bmatrix} \xrightarrow{R_2 \div (-7)} \begin{bmatrix} 1 & 3 & | & 4 \\ 0 & 1 & | & \frac{6}{7} \\ 0 & -8 & | & -6 \end{bmatrix}$$
$$\xrightarrow{R_1 - 3R_2} \begin{bmatrix} 1 & 0 & | & \frac{10}{7} \\ 0 & 1 & | & \frac{6}{7} \\ 0 & 0 & | & \frac{6}{7} \end{bmatrix} \xrightarrow{R_1 - 3R_2} \begin{bmatrix} 1 & 0 & | & \frac{10}{7} \\ 0 & 1 & | & \frac{6}{7} \\ 0 & 0 & | & \frac{6}{7} \end{bmatrix}$$

which is an inconsistent system. Therefore \mathbf{w} is *not* in U.

If $U = \text{span} \{ X_1, X_2, \dots, X_k \}$ is in \mathbb{R}^n and all the X_i are in a subspace W of \mathbb{R}^n , then $U \subseteq W$ (*U* is a subset of [or is the same as] *W*).

Example 5.1.5 (Textbook, page 201, example 5)

Given that X and Y are in \mathbb{R}^n , show that span { X+Y, X-Y } = span { X, Y }.

Both X+Y and X-Y are clearly linear combinations of X and Y and are therefore in span { X, Y } \Rightarrow span { X+Y, X-Y } \subseteq span { X, Y }. $X = \frac{X+Y}{2} + \frac{X-Y}{2}$ and $Y = \frac{X+Y}{2} - \frac{X-Y}{2}$, so that X and Y are clearly linear combinations of X+Y and X-Y are therefore in span { X+Y, X-Y } \Rightarrow span { X, Y } \subseteq span { X+Y, X-Y }. If two sets are subsets of each other, then they are identical to each other. Therefore span { X+Y, X-Y } = span { X, Y }.

Example 5.1.6

Show that $\mathbf{v} = \begin{bmatrix} 3 & 4 & 1 \end{bmatrix}^{T}$ is in the subspace $U = \text{span} \{ \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T}, \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T}, \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^{T} \}.$

If **v** is in *U*, then $[3 \ 4 \ 1]^{T} = r [1 \ 1 \ 0]^{T} + s [0 \ 1 \ 1]^{T} + t [1 \ 2 \ 1]^{T}$ for some scalars *r*, *s* and *t*.

$$\Rightarrow \begin{bmatrix} 1 & 0 & 1 & 3 \\ 1 & 1 & 2 & 4 \\ 0 & 1 & 1 & 1 \end{bmatrix} \xrightarrow{R_2 - R_1} \begin{bmatrix} 1 & 0 & 1 & 3 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix} \xrightarrow{R_3 - R_2} \begin{bmatrix} 1 & 0 & 1 & 3 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

This is a one-parameter family of solutions, so **v** is definitely in the subspace *U*. One solution, setting t = 0, is $\begin{bmatrix} 3 & 4 & 1 \end{bmatrix}^T = 3 \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^T + 1 \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^T$. Another solution, setting t = 1, is $\begin{bmatrix} 3 & 4 & 1 \end{bmatrix}^T = 2 \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^T + 1 \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^T$. Therefore the three vectors $\{ \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^T, \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^T, \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^T \}$ do **not** provide a unique representation of vectors in the subspace *U*.

In fact, $\begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^{T} = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T} + \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T}$, so that $U = \text{span} \{ \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T}, \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T}, \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^{T} \} = \text{span} \{ \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T}, \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T} \}.$

span { $\begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T}$, $\begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T}$ } is a "better" spanning set for the subspace U. All members of U can be written as a linear combination of $\begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T}$ and $\begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T}$ in only one way. A one-dimensional subspace (a line) needs only one non-zero vector in its spanning set. A two-dimensional subspace (a plane) needs only two non-zero non-parallel vectors in its spanning set.

 \mathbb{R}^3 needs only three non-zero vectors (not all in the same plane) in its spanning set.

The standard basis for \mathbb{R}^3 is $\left\{ \hat{\mathbf{i}} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \hat{\mathbf{j}} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \hat{\mathbf{k}} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}.$

These are also the columns E_1, E_2, E_3 of the identity matrix I_3 .

Therefore $\mathbb{R}^3 = \operatorname{span} \left\{ E_1, E_2, E_3 \right\} = \left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 0\\1\\0 \end{bmatrix}, \begin{bmatrix} 0\\0\\1 \end{bmatrix} \right\}.$

The standard basis for \mathbb{R}^2 is $\{E_1, E_2\} = \left\{ \begin{bmatrix} 1\\0 \end{bmatrix}, \begin{bmatrix} 0\\1 \end{bmatrix} \right\}.$

5.2 Independence and Dimension

As in Example 5.1.6 above, suppose that two linear combinations of vectors in \mathbb{R}^n both represent the same vector *Y*:

$$Y = r_1 X_1 + r_2 X_2 + \dots + r_k X_k = s_1 X_1 + s_2 X_2 + \dots + s_k X_k$$

$$\Rightarrow (r_1 - s_1) X_1 + (r_2 - s_2) X_2 + \dots + (r_k - s_k) X_k = 0$$

If there is only one possible representation of Y as a linear combination of the $\{X_i\}$, then we must have $s_i = r_i$ for all *i*, so that all of the coefficients $(r_i - s_i)$ above must be zero. When the linear combination is unique, the set $\{X_i\}$ is **independent**.

If a set of vectors is independent, then it is impossible to write any of them as a linear combination of the others. This leads to the related test for independence:

The set of vectors $\{X_1, X_2, ..., X_k\}$ is **linearly independent** if and only if

the only solution to the equation $c_1X_1 + c_2X_2 + \ldots + c_kX_k = 0$ is the trivial solution $c_1 = c_2 = \ldots = c_k = 0$.

Example 5.2.1

Show that the set { $\begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^T$, $\begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^T$, $\begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^T$ } (from Example 5.1.6) is not independent.

Solve, for *r*, *s*, *t*, the equation $r \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T} + s \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T} + t \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^{T} = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{T}$:

[1	0	1	0	ת ת	[1	0	1	0			0	1	0
1	1	2	0	$\xrightarrow{K_2-K_1}$	0	1	1	0	$$ $$ $$ $$ $$	0	1	1	0
0	1	1	0		0	1	1	0	$\Lambda_3 - \Lambda_2$	0	0	0	0

Again this is a one-parameter family of solutions.

Non-trivial solutions for *r*, *s*, *t* exist (r = s = -t, where *t* is free to be any real number). Therefore the set is not independent.

In fact $-1 \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T} - 1 \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T} + 1 \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^{T} = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{T}$ $\Rightarrow \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^{T} = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^{T} + \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{T}$

which clearly establishes that one of the vectors is dependent on the other two.

The standard basis { E_1, E_2 } for \mathbb{R}^2 is independent.

The standard basis { E_1, E_2, E_3 } for \mathbb{R}^3 is independent.

The zero vector is not a member of any set of independent vectors. [Reason: $kO + r_1X_1 + r_2X_2 + ... + r_nX_n = O$ clearly has non-trivial solutions $r_1 = r_2 = ... = r_n = 0$, k = any real number.]

A set containing a single vector $\{X\}$ is independent if and only if $X \neq 0$.

Any **basis** of a subspace is both independent and spans the subspace. The number of vectors in a basis is the **dimension** of the subspace $(\dim(U))$.

Any independent set in a subspace U can be enlarged (by adding vectors) to a basis for U. Any set that spans a subspace U can be reduced (by deleting vectors) to a basis for U.

In Example 5.2.1, deletion of $\begin{bmatrix} 1 & 2 & 1 \end{bmatrix}^T$ leaves a basis $\{\begin{bmatrix} 1 & 1 & 0 \end{bmatrix}^T, \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^T \}$ for U.

If the number of vectors m in a set A equals the dimension of the subspace U, then the following are either all true or all false:

- *A* is independent;
- A spans \hat{U} ;
- A is a basis for U.

Therefore, when $m = \dim(U)$, it is sufficient to test **one** of independence or spanning to determine whether or not A is a basis for U.

Example 5.2.2

Suppose that { *X*, *Y*, *Z* } is a basis for \mathbb{R}^3 . Show that { *X* + *aZ*, *Y*, *Z* } is also a basis for \mathbb{R}^3 for any choice of the scalar *a*.

Test for independence:

r (X + aZ) + sY + tZ = rX + sY + (t+a)Z = 0 But { X, Y, Z } is a basis for $\mathbb{R}^3 \implies$ the only solution to rX + sY + uZ = 0 is the trivial solution r = s = u = 0. Let u = t+a, then the only solution to r(X + aZ) + sY + tZ = 0 is r = s = 0, t = -a. Therefore { X + aZ, Y, Z } are independent. dim(\mathbb{R}^3) = 3 = number of vectors in the set. Therefore { X + aZ, Y, Z } is also a basis for \mathbb{R}^3 for any choice of the scalar a.

Example 5.2.3

Determine if this set of vectors is a basis of \mathbb{R}^3 : $A = \{ \begin{bmatrix} 1 & 0 & 2 \end{bmatrix}^T, \begin{bmatrix} 1 & -2 & 3 \end{bmatrix}^T, \begin{bmatrix} 1 & 4 & 0 \end{bmatrix}^T \}$

 $n(A) = \dim (\mathbb{R}^{3}) = 3$ Testing for independence: **EITHER** $r [1 \ 0 \ 2]^{T} + s [1 \ -2 \ 3]^{T} + t [1 \ 4 \ 0]^{T} = [0 \ 0 \ 0]^{T}$ $\Rightarrow \begin{bmatrix} 1 & 1 & 1 & | \ 0 \\ 0 & -2 & 4 & | \ 0 \\ 2 & 3 & 0 & | \ 0 \end{bmatrix} \xrightarrow{R_{2} - 2R_{1}} \begin{bmatrix} 1 & 1 & 1 & | \ 0 \\ 0 & -2 & 4 & | \ 0 \\ 0 & 1 & -2 & | \ 0 \end{bmatrix}$ $\xrightarrow{R_{2} \leftrightarrow R_{3}} \begin{bmatrix} 1 & 1 & 1 & | \ 0 \\ 0 & 1 & -2 & | \ 0 \\ 0 & -2 & 4 & | \ 0 \end{bmatrix} \xrightarrow{R_{1} - R_{2}} \begin{bmatrix} 1 & 0 & 3 & | \ 0 \\ 0 & 1 & -2 & | \ 0 \\ 0 & 0 & 0 & | \ 0 \end{bmatrix}$

Non-trivial solutions therefore exist for *r*, *s*, *t*. $t (-3 \begin{bmatrix} 1 & 0 & 2 \end{bmatrix}^{T} + 2 \begin{bmatrix} 1 & -2 & 3 \end{bmatrix}^{T} + 1 \begin{bmatrix} 1 & 4 & 0 \end{bmatrix}^{T}) = \begin{bmatrix} 0 & 0 & 0 \end{bmatrix}^{T}$ for all real numbers t $\Rightarrow \begin{bmatrix} 1 & 4 & 0 \end{bmatrix}^{T} = 3 \begin{bmatrix} 1 & 0 & 2 \end{bmatrix}^{T} - 2 \begin{bmatrix} 1 & -2 & 3 \end{bmatrix}^{T}$ Therefore the set *A* is not independent.

OR

One can spot that $\begin{bmatrix} 1 & 4 & 0 \end{bmatrix}^T = 3 \begin{bmatrix} 1 & 0 & 2 \end{bmatrix}^T - 2 \begin{bmatrix} 1 & -2 & 3 \end{bmatrix}^T$, so that one vector is a linear combination of the others. Immediately we can conclude that set *A* is not independent.

Therefore set *A* is not a basis (and does not span \mathbb{R}^3 either).

The reduced set { $\begin{bmatrix} 1 & 0 & 2 \end{bmatrix}^T$, $\begin{bmatrix} 1 & -2 & 3 \end{bmatrix}^T$ } is independent, but does not span \mathbb{R}^3 .

Adding the vector $E_1 = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix}^T$ to the reduced set does generate a basis for \mathbb{R}^3 : $B = \{ \begin{bmatrix} 1 & 0 & 0 \end{bmatrix}^T, \begin{bmatrix} 1 & 0 & 2 \end{bmatrix}^T, \begin{bmatrix} 1 & -2 & 3 \end{bmatrix}^T \}$

Example 5.2.4

The subspace U of \mathbb{R}^3 is defined by

$$U = \operatorname{span} \left\{ \begin{bmatrix} 1\\4\\2 \end{bmatrix}, \begin{bmatrix} 2\\-3\\-1 \end{bmatrix}, \begin{bmatrix} 11\\0\\2 \end{bmatrix} \right\}$$

- (a) Is $[3 \ 1 \ 1]^{T}$ in U? (b) Is $[3 \ 1 \ 0]^{T}$ in U? (c) Find a basis for U. (d) Write down dim U.
- (e) Is $U = \mathbb{R}^3$?

(a) Solve
$$[3 \ 1 \ 1]^{T} = r [1 \ 4 \ 2]^{T} + s [2 \ -3 \ -1]^{T} + t [11 \ 0 \ 2]^{T}$$
 for r, s, t :

$$\begin{bmatrix} 1 & 2 & 11 & | & 3 \\ 4 & -3 & 0 & | & 1 \\ 2 & -1 & 2 & | & 1 \end{bmatrix} \xrightarrow{R_2 - 4R_1} \begin{bmatrix} 1 & 2 & 11 & | & 3 \\ 0 & -11 & -44 & | & -11 \\ 0 & -5 & -20 & | & -5 \end{bmatrix}$$

$$\xrightarrow{R_2 \div (-11)} \begin{bmatrix} 1 & 2 & 11 & | & 3 \\ 0 & 1 & 4 & | & 1 \\ 0 & -5 & -20 & | & -5 \end{bmatrix} \xrightarrow{R_1 - 2R_2} \begin{bmatrix} 1 & 0 & 3 & | & 1 \\ 0 & 1 & 4 & | & 1 \\ 0 & 0 & 0 & | & 0 \end{bmatrix}$$

This is a one-parameter family of solutions, r = 1 - 3t, s = 1 - 4t, $t \in \mathbb{R}$ One solution (setting t = 0) is $\begin{bmatrix} 3 & 1 & 1 \end{bmatrix}^{T} = \begin{bmatrix} 1 & 4 & 2 \end{bmatrix}^{T} + \begin{bmatrix} 2 & -3 & -1 \end{bmatrix}^{T}$. Another solution (setting t = 1) is $\begin{bmatrix} 3 & 1 & 1 \end{bmatrix}^{T} = -2 \begin{bmatrix} 1 & 4 & 2 \end{bmatrix}^{T} + -3 \begin{bmatrix} 2 & -3 & -1 \end{bmatrix}^{T} + \begin{bmatrix} 11 & 0 & 2 \end{bmatrix}^{T}$ The representation of $\begin{bmatrix} 3 & 1 & 1 \end{bmatrix}^{T}$ is not unique. Therefore $\begin{bmatrix} 1 \\ 4 \end{bmatrix} \begin{bmatrix} 2 \\ 3 \end{bmatrix} \begin{bmatrix} 11 \\ 0 \end{bmatrix}$ is not a basis for U and the three vectors

Therefore $\left\{ \begin{bmatrix} 1 \\ 4 \\ 2 \end{bmatrix}, \begin{bmatrix} -3 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 2 \end{bmatrix} \right\}$ is not a basis for U and the three vectors are

not independent ($\begin{bmatrix} 11 & 0 & 2 \end{bmatrix}^{T} = 3 \begin{bmatrix} 1 & 4 & 2 \end{bmatrix}^{T} + 4 \begin{bmatrix} 2 & -3 & -1 \end{bmatrix}^{T}$). However, $\begin{bmatrix} 3 & 1 & 1 \end{bmatrix}^{T}$ is in U.

Example 5.2.4 (continued)

(b)	Solve $[3 \ 1 \ 0]^{T} = r [1 \ 4 \ 2]^{T} + s [2 \ -3 \ -1]^{T} + t [11 \ 0 \ 2]^{T}$ for r, s,
	$\begin{bmatrix} 1 & 2 & 11 & 3 \\ 4 & -3 & 0 & 1 \\ 2 & -1 & 2 & 0 \end{bmatrix} \xrightarrow{R_2 - 4R_1} \begin{bmatrix} 1 & 2 & 11 & 3 \\ 0 & -11 & -44 & -11 \\ 0 & -5 & -20 & -6 \end{bmatrix}$
	$\xrightarrow{R_2 \div (-11)} \begin{bmatrix} 1 & 2 & 11 & 3 \\ 0 & 1 & 4 & 1 \\ 0 & -5 & -20 & -6 \end{bmatrix} \xrightarrow{R_1 - 2R_2} \begin{bmatrix} 1 & 0 & 3 & 1 \\ 0 & 1 & 4 & 1 \\ 0 & 0 & 0 & -1 \end{bmatrix}$
	$\xrightarrow{R_3 \times (-1)} \begin{bmatrix} 1 & 0 & 3 & & 1 \\ 0 & 1 & 4 & & 1 \\ 0 & 0 & 0 & & 1 \end{bmatrix}$

which is an inconsistent system. Therefore $\begin{bmatrix} 3 & 1 & 0 \end{bmatrix}^{T}$ is not in U.

(c) Vectors $\begin{bmatrix} 1 & 4 & 2 \end{bmatrix}^{T}$ and $\begin{bmatrix} 2 & -3 & -1 \end{bmatrix}^{T}$ are clearly independent (neither is a multiple of the other). A basis for a subspace is a set of independent vectors that span that subspace. $U = \text{span} \{ \begin{bmatrix} 1 & 4 & 2 \end{bmatrix}^{T}, \begin{bmatrix} 2 & -3 & -1 \end{bmatrix}^{T} \}$. Therefore a basis for U is $\{ \begin{bmatrix} 1 & 4 & 2 \end{bmatrix}^{T}, \begin{bmatrix} 2 & -3 & -1 \end{bmatrix}^{T} \}$.

In fact, any two of the original three vectors will serve as a basis for U.

- (d) The basis for U contains two vectors. Therefore dim U = 2.
- (e) dim U = 2 but dim $\mathbb{R}^3 = 3$. Therefore $U \neq \mathbb{R}^3$. In fact $U \subset \mathbb{R}^3$ (geometrically U is a plane through the origin).

END OF CHAPTER 5 END OF MATH 2050 ! *t*: