

Application: The correctness of iterative statements

Suppose that

- S is a statement in a programming language
- P and Q are boolean expressions involving program variables.

We write $\{P\}S\{Q\}$ to mean that

- If statement S starts in a state where P holds
- then it can only terminate in a state where Q holds.

(Such a triple is called a Hoare triple after C.A.R. Hoare. P is called the “precondition” and Q is called the “postcondition”.)

For example the following are valid Hoare triples

- $\{i \leq 100 \wedge j \leq 100\} i := i + j \{i \leq 200\}$
 (I am using the notation “ $x := E$ ” for assignment of expression E to variable x . In C/C++ we would write “ $x = E;$ ”)
- $\{i = 4\} i := i + 1; i := i \times 2 \{i = 10\}$

- $\{B^A = z \times y^x \wedge x > 0\}$
 $x := x - 1; z := z \times y$
 $\{B^A = z \times y^x\}$

We can also use variables that do not occur in the program state. So

$$\{i = K\} i := i + 1; i := i \times 2 \{i = 2 \times K + 2\}$$

is a valid Hoare triple.¹

Now consider the following triple with integer x, y, z, A, B

$$\{x = A \wedge x \geq 0 \wedge y = B\}$$

$$z := 1;$$

$$\mathbf{while} \text{ /*L*/ } x > 0 \mathbf{do} (x := x - 1; z := z \times y)$$

$$\{z = B^A\}$$

We can see that the triple is valid as follows:

- Let I be “ $B^A = z \times y^x \wedge x \geq 0$ ”
- Let $P(n)$ mean I holds the n^{th} time point L is reached.

¹ By the way, a Hoare triple $\{P\}x := E\{Q\}$ is valid iff

$$P \rightarrow Q[x := E] \text{ for all values of all variables}$$

And you can extend this to a sequence of assignments. E.g. $\{P\}x := E; y := F\{Q\}$
 iff

$$P \rightarrow (Q[y := F])[x := E] \text{ for all values of all variables}$$

- We can show by simple induction that $P(n)$ is true for all $n \in \{1, 2, 3, \dots\}$.
- Base step. $P(1)$ is true because when we first reach L it is right after the first assignment to z and so $x = A \wedge x \geq 0 \wedge y = B \wedge z = 1$.
- Inductive step. $P(k)$ is the ind. hyp. W.T.P $P(k + 1)$
 - * To show the inductive step, we first show the validity of

$$\{I \wedge x > 0\} x := x - 1; z := z \times y \{I\}$$
 - * The $(k + 1)^{\text{th}}$ time point L is reached it is right after k^{th} iteration of the loop body.
 - * By the ind. hyp. I holds at the start of the k^{th} iteration of the loop body; so does $x > 0$.
 - * So by

$$\{I \wedge x > 0\} x := x - 1; z := z \times y \{I\}$$
 I holds at the end of the k^{th} iteration of the loop body and hence the $(k + 1)^{\text{th}}$ time point L is reached.
- If the loop is ever exited, it will be the case that I holds and also $x \leq 0$ holds.
- From $I \wedge x \leq 0$ we can deduce $x = 0$ and hence $z = B^A$.

We can replace the loop body by any statement S such that

$$\{I \wedge x > 0\} S \{I\}$$

For example we can replace the loop body by

if $2|x$ **then** $(x := x/2; y := y^2)$ **else** $(x := x - 1; z := z \times y)$

Hoare's rule of iteration

We can generalize this technique to any loop **while** E **do** S provided

- E does not affect the state.
- there is no way to exit the loop other than by E evaluating to false.

The general rule is

- If $\{I \wedge E\} S \{I\}$ is valid
- then $\{I\}$ **while** E **do** S $\{I \wedge \neg E\}$ is valid

Application: The correctness of recursive subroutines

Consider the following subroutine in C++

```
int pow( int x, int y) {  
    if( x==0 )  
        return 1 ;  
    else if( x % 2 != 0 )  
        // x is odd  
        return y * pow(x-1,y) ;  
    else // x is even and not 0  
        return pow(x/2,y*y) ;  
}
```

Such a subroutine is called 'recursive' as it contains calls to itself.

What does this routine do?

Let $P(n)$ mean “for any y , $\text{pow}(n, y)$ returns y^n ”.

Now we show for all $n \in \mathbb{N}$, $P(n)$ by *complete* induction.

Base Step:

- Any call ‘ $\text{pow}(0, y)$ ’ returns 1, which is y^0 .

Inductive Step:

- Let k be any natural greater than 0
- Assume as the ind. hyp. that for all naturals j less than k , $P(j)$.
- Let y be any integer
- Case k is odd
 - * By the ind hyp ‘ $\text{pow}(k - 1, y)$ ’ returns y^{k-1}
 - * The value returned by ‘ $\text{pow}(k, y)$ ’ is $y \times \text{pow}(k - 1, y)$

$$y \times \text{pow}(k - 1, y) = y \times y^{k-1} = y^k$$
- Case k is even.
 - * By the ind hyp ‘ $\text{pow}(k/2, y^2)$ ’ returns $(y^2)^{k/2}$
 - * The value returned by ‘ $\text{pow}(k, y)$ ’ is $\text{pow}(k/2, y^2)$

$$\text{pow}(k/2, y^2) = (y^2)^{k/2} = y^k$$